

ISA 2021/2022 Application Form (For Retail Clients only) Alternative Share Classes are available for Advised UK Resident Retail Clients

Sarasin Investment Funds Ltd (the "Company") is offering shares in the Funds listed below to investors in accordance with the terms of the current applicable prospectuses and prospectus supplements (the "Prospectus") and the terms of subscription set out below. Unless otherwise defined, all capitalised terms used in this Application Form shall have the same meaning given to them in the Prospectus.

Please return this form to: Sarasin Investment Funds, Sunderland, SR43 4AX (authorised and regulated by the Financial Conduct Authority) Tel: +44 (0) 333 300 0373 (Dealing line) Fax: +44 (0)20 7643 3910. Please use **BLOCK CAPITALS**.

Before completing this application form, please ensure you have read the Key Investor Information Document (KIID) for the Fund(s) that you wish to invest in.

Please note that no U.S. Person is permitted to invest in the Funds.

A. REGISTRATION DETAILS

All Applicants must complete this section

Title	Surname	Forename(s) in full
<input type="text"/>	<input type="text"/>	<input type="text"/>
Date of birth		
<input type="text"/>		
Existing Sarasin account number (if known)		
<input type="text"/>		
National Insurance number		
<input type="text"/>		
Tick here if you do not have a National Insurance number* <input type="checkbox"/>		
Permanent residential address		
<input type="text"/>		
<input type="text"/>		
Postcode	Daytime telephone number	
<input type="text"/>	<input type="text"/>	
Email address		
<input type="text"/>		

Your date of birth and National Insurance number must be provided as these are HM Revenue & Customs requirements.

*If you do not currently have a National Insurance number, please provide a separate, written explanation and indicate if you have recently applied for one.

To be completed by the Financial Adviser

Agent Stamp:
Name:
Address:
Registration number:

No commission payable on these share classes in compliance with the UK Retail Distribution Review. Investors are responsible for ensuring Sarasin is informed when the financial advisor detail is changed.

B. INVESTMENT DETAILS

Please enter the amount you wish to invest in each Fund. Cheques should be made payable to 'Sarasin Investment Funds Ltd.' the maximum annual ISA investment is £20,000 for 2021/2022. Please note only accumulation shares are available for monthly savings.

You may select any number of Sarasin Funds on offer for inclusion in your ISA. Please note your application will not be processed without receipt of a cheque or cleared funds.

UK OEIC Sub-funds	Share Class	Inc	Acc	Lump sum amount (min. £1,000 per fund)	Monthly Savings (min. £100 per fund)
Sarasin Food & Agriculture Opportunities ISIN code: Inc GB00B2Q8L536 Acc GB00B2Q8L643	A				
Sarasin Thematic Global Equity ISIN code: Inc GB0009340919 Acc GB0009341214	A				
Sarasin Thematic Global Equity (Sterling Hedged) ISIN code: Inc GB00B417P793 Acc GB00B417S250	A				
Sarasin Global Equity Real Return ISIN code: Inc GB00B13GWB69 Acc GB00B13GW945	A				
Sarasin Responsible Global Equity ISIN code: Inc GB00B4VTKQ93 Acc GB00B43H4F86	A				
Sarasin Responsible Global Equity (Sterling Hedged) ISIN code: Inc GB00B4RDM925 Acc GB00B4MRD636	A				
Sarasin UK Equity ISIN code: Inc GB00B58T1663 Acc GB00B589KN65	A				
Sarasin UK Equity Opportunities ISIN code: Inc GB00B592YD25 Acc GB00B57NPC32	A				
Sarasin GlobalSar Strategic ISIN code: Inc GB0009341768 Acc GB0009341875	A				
Sarasin Global Higher Dividend (Sterling Hedged) ISIN code: Inc GB00B41PYQ57 Acc GB00B41SLB80	A				
Sarasin Global Higher Dividend ISIN code: Inc GB00B13GWJ46 Acc GB00B13GWH22	A				
Sarasin Global Dividend ISIN code: Inc GB00BGDF8652 Acc GB00BGDF8769	A				
Sarasin Global Dividend (Sterling Hedged) ISIN code: Inc GB00BGDF7X50 Acc GB00BGDF7Y67	A				
Fund of Funds OEIC Sub-funds					
Sarasin Fund of Funds – Global Diversified Growth ISIN code: Inc GB00BQ0PZF65 Acc GB00BQ0PZG72	A				
Sarasin Fund of Funds – Global Equity ISIN code: Inc GB00B7JYZF12 Acc GB00B7CLW252	A				

For monthly savings please send a cheque for your first instalment and complete Section I for subsequent payments. Your Sarasin ISA monthly savings plan is continuous in subsequent tax years unless you instruct us in writing to stop. You may start or stop whenever you wish providing the remaining investment amount in your Sarasin ISA is above £1,000. If at any time the level of your direct debit subscriptions is in excess of that allowed by the ISA Regulations (i.e. maximum monthly savings is £1,666 for a Stocks and Shares ISA), we shall have automatic and implied authority to instruct your bank to reduce this level to the maximum allowed by those regulations.

C. INCOME PAYMENTS

If you wish to receive income from your investment, please complete this section.

Bank/Building Society

Bank/Building Society Account number

Address

Postcode:

Account name

Branch Sort Code

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Swift Code

Building Society Roll No.

Please note that if no payment details are provided, income will be reinvested to buy additional shares.

D. REDEMPTIONS PAYMENTS

Please provide details of the bank account to which future redemptions should be paid. We wish to ensure that payments to you are made promptly and accurately. Failure to complete this section may lead to delays whilst nominated bank account details are verified. Payments cannot be made to a third party.

If the bank account to which you wish to receive redemption payment is the same as that to which you have requested to receive income payments above please tick here

Bank/Building Society

Bank/Building Society Account number

Address

Postcode:

Account name

Branch Sort Code

 - -

Swift Code

Building Society Roll No.

Redemptions cannot be made to banks outside of the applicant's home jurisdiction. If you wish to change your bank account details at a later stage, you will be asked for proof of account holding.

E. BANK TRANSFER

For STG

Royal Bank of Scotland, London Corporate, PO Box 450,
5-10 Great Tower Street, London EC3P 3HX.

Sort Code: 16-04-00, Account No: 20018870

IBAN: GB75 RBOS 1604 0020 0188 70

IBAN BIC: RBOS GB 2L

Account Name: Sarasin Investment Funds Ltd Operations Account.

For all investments please quote the reference of 'Client Name (ISA)'.

F. ANTI-MONEY LAUNDERING

Where you are not investing through a UK Authorised Financial Adviser, please read through the relevant section below and ensure that you have sent us the appropriate documentation.

New applicants must provide us with the required documentation on this checklist. Any outstanding information may delay acceptance of the business and we cannot be held liable for any direct or indirect losses for delays resulting from any incomplete application.

ALL DOCUMENTS provided must be certified as true copies of the original or enclose the original copies. Copies should be in black and white. No colour copies please. Documents must be certified by one of the following: UK lawyer, banker, authorised financial intermediary, MCCB regulated mortgage adviser, accountant, doctor, teacher, minister of religion, postmaster, member of the judiciary, senior civil servant, serving police or customs officer or a senior employee of Sarasin.

G. DECLARATION

I declare that:

- I am 18 years of age or over;
- I have not subscribed and will not subscribe to another Stocks and Shares ISA in the same tax year that I subscribe to this Stocks and Shares ISA.
- I am resident and ordinary resident in the United Kingdom for tax purposes or, if not so resident, perform duties which, by virtue of Section 132(4) (a) of the Income and Corporation Taxes Act 1988 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to a person who performs such duties. I will inform Sarasin Investment Funds Ltd. If I cease to be so resident and ordinarily resident or to perform such duties, or be married to a person who performs such duties.
- The subscription which accompanies this application and any to be made are beneficially my own and are delivered free of all liens, charges, encumbrances and third party interests of any kind.
- The information which I have given on this form is true and correct to the best of my knowledge and belief, and I will inform Sarasin Investment Funds Ltd., without delay of any change in my circumstances affecting any of the said information:

I authorise Sarasin Investment Funds Ltd., as Account Manager of my Sarasin ISA:

- To hold my cash subscriptions, ISA investments, interest, dividends and any other rights to proceeds in respect of those investments.
- On my written request, to transfer or pay to me, as the case may be, ISA investments, interest, dividends, rights or other proceeds in respect of such investments.

General authorisation:

- I hereby consent to the processing of my personal data by Sarasin Investment Funds Ltd. whose server may be located with the parent company, in Switzerland, or with our associates in India and USA, and authorise Sarasin to enable access to the details of my investments in the Sarasin Funds to my designated financial adviser or any other Sarasin Group of Companies, through a website or by means of electronic data feeds. I understand that this information will only be used by my Financial Adviser in the course of providing investment advice to me. Under the terms of the Data Protection Act, you have the right to obtain a copy of the information we hold about you upon payment of the appropriate fee.
- To comply with the UK Money Laundering Regulations, I understand that Sarasin may need to request additional evidence of identity from me and I consent to the use of a credit agency for this purpose who will record an enquiry has been made. I understand that this application will/or may not be processed until Sarasin Investment Funds Ltd is satisfied that all evidence of identification has been obtained and verified.

- I understand that all the data I have submitted will be kept on file with Sarasin Investment Funds Ltd., the office responsible for processing all new applications, and will also be stored with Sarasin & Partners LLP. I am also aware that this data will be used for customer support purposes, and I explicitly consent to said uses.
- I confirm that I have received and read the Key Investor Information Document (KIID) in relation to this Fund(s). I also acknowledge and agree that the updated KIID is available at the Investment Manager's website at www.sarasinandpartners.com and that I will read and review the most up-to-date version of the relevant KIID prior to making and subsequent application for shares in the Fund(s).
- I understand that Sarasin and other members of the Sarasin Group of Companies may use the information held about me to tell me about other services and products that Sarasin believe may be of interest to me. If you do not wish us to do this please tick this box.

Signature

Date

Checklist for return

1. Complete transfer form.
2. Cheque for total amount invested or first monthly savings payment made payable to **Sarasin Investment Funds Ltd** (unless paying by Bank Transfer).
3. Return to: Sarasin Investment Funds, Sunderland, SR43 4AX.
4. Bank details provided if requesting income to be paid out.
5. Please provide identification as per the Anti-money Laundering checklist.
6. Completed Direct Debit Mandate if investing monthly.

We regret we may not be able to process any application where the Anti-money Laundering documentation has not been provided.

H. ANTI-MONEY LAUNDERING CHECKLIST

Evidence of identity (You need to provide one of the following):

- Current, signed, passport, or
- National Identity Card with photo or armed forces identity card, or
- Current UK Photo Driving Licence if not used for evidence of address and

Evidence of residential address verification (you need to provide one of the following):

- Original recent utility bill (not over three months old and not a mobile bill), or a National Identity Card with address written on it (you cannot use this as an address verification documentation if you have used it as evidence of identity), or
- Current year Local Authority Tax Bill, or
- Current UK photo driving license – If not used for evidence of identity, or
- Bank, building society or credit union statement (not over three months old), or
- The most recent original mortgage statement from a recognised lender (not over twelve months old), or
- Solicitor's letter confirming recent house purchase or land registry confirmation, or
- Local council rent card or tenancy agreement, or
- Land registry confirmation

EU passport holders and/or EU residents (You need to provide in addition to the above):

- Proof of tax identification number (if available), or
- Tax residence certificate if you are not resident in the EU.

I. DIRECT DEBIT

Instruction to your Bank or Building Society to pay by Direct Debit. The Direct Debit will be collected on the 7th calendar day (or next business day) of each month.

Please fill in the whole form and send to: Sarasin Investment Funds, Sunderland, SR43 4AX.

Full name and postal address of your
Bank or Building Society

The Manager,

Branch Sort Code

<input type="text"/>	<input type="text"/>	-	<input type="text"/>	<input type="text"/>	-	<input type="text"/>	<input type="text"/>
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Building Society Roll No.

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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Originator's identification number

<input type="text" value="8"/>	<input type="text" value="0"/>	<input type="text" value="8"/>	<input type="text" value="4"/>	<input type="text" value="7"/>	<input type="text" value="7"/>
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Name of account holder(s)

Reference Number

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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Please pay the following amount net of all charges.

Instruction to your Bank or Building Society

Please pay Sarasin Investment Funds Ltd Direct Debits from the account detailed in this instruction subject to the safeguards assured by the Direct Debit Guarantee.

Your regular savings Direct Debit will be collected on the next collection date (7th) following processing by Sarasin and your bank/building society.

Banks and Building Societies may not accept Direct Debit Instructions for some types of accounts.

Bank/Building Society account number

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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I understand that this instruction may remain with Sarasin Investment Funds Ltd and, if so, details will be passed electronically to my Bank/Building Society.

Signature

Date

Signature (joint holders)

Date



DIRECT DEBIT GUARANTEE

This guarantee is offered by all Banks and Building Societies that accept instructions to Direct Debits.

If there are any changes to the amount, date or frequency of your Direct Debit, Sarasin Investment Funds Limited will notify you ten working days in advance of your account being debited or as otherwise agreed. If you request Sarasin Investment Funds Limited to collect a payment, confirmation of the amount and date will be given to you at the time of the request.

If an error is made in the payment of your Direct Debit by Sarasin Investment Funds Limited or your Bank or Building Society, you are entitled to a full and immediate refund of the amount paid from your Bank or Building Society.



If you receive a refund you are not entitled to, you must pay it back when Sarasin Investment Funds Limited asks you to.

You can cancel a Direct Debit at any time by simply contacting your Bank or Building Society. Written confirmation may be required. Please also send a copy of your letter to Sarasin Investment Funds Limited.

This Guarantee should be detached and retained by the client.