

Sarasin IE Global Equity Opportunities (GBP)
Sarasin IE Global Equity Opportunities (USD)
Sarasin IE Multi Asset – Dynamic (GBP)
Sarasin IE Multi Asset – Dynamic (USD)
Sarasin IE Multi Asset – Defensive (GBP)
Sarasin IE Multi Asset – Strategic (EUR)
Sarasin IE Multi Asset – Strategic (USD)
Sarasin IE Multi Asset Target Return
Sarasin IE Thematic Global Real Estate Equity

Each Open-Ended Unit Trust established under the laws of Ireland pursuant to the European Communities (Undertakings for collective Investment in Transferable Securities) Regulations 2011.

SARASIN ACCOUNT OPENING & INITIAL SUBSCRIPTION FORM – INDIVIDUAL INVESTORS

This form should be used by individual investors wishing to invest in unit trusts managed by Waystone Management Company (Ireland) Limited. If you require additional investors to be registered to your account, please complete an additional investor form for each investor you want registered. The form is available at our website www.sarasinandpartners.com

Please return this form to: **Sarasin Funds Management (Ireland) Limited, City East Plaza – Block A, Towlerton, Ballysimon Road, Limerick, Ireland V94 X2N9.** Tel: +353 1 434 5111 Fax: +353 1 434 5264. **Please use BLOCK CAPITALS.**

Before completing this application form, please ensure you have read the Key Investor Information Document (KIID) for the Fund(s) that you wish to invest in. The KIID is available at www.sarasinandpartners.com

Please note, no U.S. Person is permitted to invest in the Funds.

We request you include your email or phone number to allow us to contact you with any queries regarding your subscription.

This account opening form is comprised of:

- Registration details
- Bank details
- Agent details (if applicable)
- Residency declaration
- Return of Values
- FATCA/CRS disclosure
- Terms of proposed investment / KIID disclosure
- Authorised Signatures
- Due Diligence / Anti-money laundering documentation

Please provide full Anti-Money Laundering (AML) Due Diligence Documentation as set out in Section 3 and a properly signed, valid FACTS/CRS form, together with this Application Form.

The investor is required to submit legible photocopies of the required original documents. The documents must be provided in a clear readable copy format, with photographed information not being obstructed in any way. Unreadable or incomplete copies of documents will be required to be re-submitted.

The Due Diligence Documentary Requirements Section 3 provides further guidance on the specific documentation and information requirements for each investor type as well as, if applicable, certification requirements with regards to documents of certain identified investors.

Subscription instructions and proceeds must not be forwarded until AML requirements have been satisfied and your account number confirmation has been issued to you by the Administrator. Please note this may take up to 5 Business Days.

Incomplete Account Opening Forms (where compulsory information and AML verification documents have not been provided in advance) will be rejected and any subscription monies received will be returned. If an application is rejected, the Administrator, at the cost and risk of the Applicant will, subject to any applicable laws, return application monies or the balance thereof, without interest, expenses or compensation by electronic transfer to the account from which it was paid (less any applicable bank charges where applicable).

If you wish to invest in an existing account, please complete the additional subscription form available at www.sarasinandpartners.com

SECTION 1 - REGISTRATION

All Applicants must complete this section in full

Please circle the total number of Investors.
Complete additional investor forms for each joint holder. Available at our website www.sarasinandpartners.com

1	2	3	4
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FIRST APPLICANT DETAILS

Full Name:

Registered Address:

Post Code: Country:

Phone No:

Email Address:

Date of Birth: Citizenship:

Existing Sarasin Account No. (if any):

MAILING ADDRESS (IF DIFFERENT TO ABOVE)

Address:

Post Code: Country:

ADDITIONAL CONTACT(S) FOR RECEIPT OF DOCUMENTATION

Email Address(es):	Contract Note Only	Statements Only	All
<div></div>	<div></div>	<div></div>	<div></div>
<div></div>	<div></div>	<div></div>	<div></div>

INVESTOR BANK DETAILS

Important information regarding bank accounts for subscriptions and redemptions Third party payments are not permitted for redemptions. Please confirm the details of the bank accounts from which and to which payments will be made below. A recent bank statement (no more than 6 months old), or a banker's reference, must accompany the application to evidence that the bank account details provided for subscriptions and redemption payments are for a bank account in the registered holder's account name. Accounts in the name of regulated entities are exempt from this requirement.

Please see below for further details of bank account evidence requirements:

Bank account evidence documentation must include one of the below:

- Original or copy printed bank statements on headed paper showing the investor's name, address and account details **OR**
- Printed correspondence from the bank which confirms the investor's account name, address and account details, duly certified as a true copy of the original

REDEMPTION BANK INFORMATION

Beneficiary Bank Information

Currency:	<input type="text" value="GBP / USD / EUR / CHF / DKK / ZAR / NZD"/>
Beneficiary Bank Name:	<input type="text"/>
Beneficiary BIC/SWIFT Code/Sort Code/ABA Code:	<input type="text"/>
Beneficiary Bank Address:	<input type="text"/>
Beneficiary Account Number:	<input type="text"/>
IBAN:	<input type="text"/>
Beneficiary Account Name:	<input type="text"/>
Reference Code/ FFC:	<input type="text"/>

Correspondent Bank Information

Note: Required if Payment CCY differs to bank account residency or if your bank account does not support Multi currency payments.

Correspondent Bank Name:	<input type="text"/>
Correspondent BIC/SWIFT Code/Sort Code/ABA Code:	<input type="text"/>
Correspondent Account Number/IBAN:	<input type="text"/>
Correspondent Account Name:	<input type="text"/>
Reference Code/ FFC:	<input type="text"/>
Correspondent Bank Address:	<input type="text"/>

DIVIDEND OPTION:

Reinvest:	<input type="text"/>	Cash:	<input type="text"/>
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DIVIDEND BANK INFORMATION (IF PAYABLE BY CASH)

Please complete if bank details differ to redemption bank information

Beneficiary Bank Information

Currency:	GBP / USD / EUR / CHF / DKK / ZAR / NZD
Beneficiary Bank Name:	
Beneficiary BIC/SWIFT Code/Sort Code/ABA Code:	
Beneficiary Bank Address:	
Beneficiary Account Number:	
IBAN:	
Beneficiary Account Name:	
Reference Code/ FFC:	

Correspondent Bank Information

Note: Required if Payment CCY differs to bank account residency or if your bank account does not support Multi currency payments.

Correspondent Bank Name:	
Correspondent BIC/SWIFT Code/Sort Code/ABA Code:	
Correspondent Account Number/IBAN:	
Correspondent Account Name:	
Reference Code/ FFC:	
Correspondent Bank Address:	

AGENT DETAILS GENERAL INFORMATION

Full Name:

Registered Address:

Post Code:

Country:

Phone No:

Email Address:

Regulator Name:

Regulator No:

Agent allowed to act on behalf of the investors to:

Receive any account information ☐ Amend Investor(s) Static Data ☐ Place Trades on behalf of the investor ☐

Commission Terms Agreed with the Fund (**Internal use only**):

Agent Approver (**Internal use only**):

Agent Stamp:

SECTION 2 – TAX DECLARATIONS

Please complete either the Declaration of Residence outside of Ireland or the Declaration of Residency within Ireland.

DECLARATION OF RESIDENCE OUTSIDE OF IRELAND

Applicants resident outside Ireland are required by the Irish Revenue Commissioners to make the following declaration which is in a format authorised by them, in order to receive payment without deduction of tax. It is important to note that this declaration, if it is then still correct, shall apply in respect of any subsequent acquisitions of Units. Terms used in this declaration are defined in the Prospectus.

Declaration on own behalf

I/we* declare that I am/we are* applying for the Units on my own/our own behalf/on behalf of a company* and that I am/we are/the company* is entitled to the Units in respect of which this declaration is made and that:

- I am/we are/the company is* not currently resident or ordinarily resident in Ireland, and
- Should I/we/the company* become resident in Ireland I will/we will* so inform you, in writing, accordingly.

***Delete as appropriate (mandatory)**

Declaration as Intermediary

I/we* declare that I am/we are* applying for Units on behalf of persons:

- who will be beneficially entitled to the Units; and,
- who, to the best of my/our* knowledge and belief, are neither resident nor ordinarily resident in Ireland.

I/we* also declare that:

- unless I/we* specifically notify you to the contrary at the time of application, all applications for Units made by me/us* from the date of this application will be made on behalf of such persons; and,
- I/we* will inform you in writing if I/we* become aware that any person, on whose behalf I/we* holds Units, becomes resident in Ireland.

***Delete as appropriate (mandatory)**

Name of applicant:

Address of applicant:

Signature of applicant or authorised signatory:

 (Declarant)

Capacity of authorised signatory (if applicable):

Date:

IMPORTANT NOTES

1. Non-resident declarations are subject to inspection by the Irish Revenue Commissioners and it is a criminal offence to make a false declaration.
2. To be valid, the application form (incorporating the declaration required by the Irish Revenue Commissioners) must be signed by the applicant. Where there is more than one applicant, each person must sign. If the applicant is a company, it must be signed by the company secretary or another authorised officer.
3. If the application form (incorporating the declaration required by the Irish Revenue Commissioners) is signed under power of attorney, a copy of the power of attorney must be furnished in support of the declaration.

DECLARATION OF RESIDENCE WITHIN IRELAND

Declaration referred to in Section 739D(6) Taxes Consolidation Act, 1997

It is important to note that this declaration shall apply in respect of any subsequent acquisitions of units

- I declare that the information contained in this declaration is true and correct
- I also declare that I am applying for the Units on behalf of the applicant named below whom is entitled to the Units in respect of which this declaration is made and is: **(please tick as appropriate).**

- ☐ a) An individual, or
- ☐ b) A company (which is not listed in (c) below). If you tick this box please also provide a statement to this effect on company letterhead, or
- ☐ c) A person referred to in section 739D(6) of the Taxes Consolidation Act 1997 being a person who is: **(please tick as appropriate).**
 - ☐ A pension scheme
 - ☐ A company carrying on life business within the meaning of section 706 TCA 1997
 - ☐ An investment undertaking
 - ☐ An investment limited partnership
 - ☐ A special investment scheme
 - ☐ A unit trust to which section 731(5) (a) TCA 1997 applies
 - ☐ A charity being a person referred to in section 739D(6)(f)(i) TCA 1997
 - ☐ A qualifying management company
 - ☐ Entitled to exemption from income tax and capital gains tax by virtue of sections 784A(2) TCA, 1997 (see further requirements for Qualifying Fund Manager below).
 - ☐ A PRSA Administrator
 - ☐ A credit union within the meaning of section 2 of the Credit Union Act 1997
 - ☐ The National Asset Management Agency
 - ☐ The National Treasury Management Agency or a Fund investment vehicle (within the meaning of section 37 of the National Treasury Management Agency (Amendment) Act 2014) of which the Minister for Finance is the sole beneficial owner, or the State acting through the National Treasury Management Agency;
 - ☐ A "qualifying company" within the meaning of section 110 TCA 1997.

Additional requirement where the declaration is completed on behalf of a pension scheme, a company carrying on life business within the meaning of section 706 TCA 1997, an investment undertaking, an investment limited partnership, a special investment scheme, a unit trust to which section 731(5) (a) TCA applies, a qualifying management company or a credit union to which Section 2 of the Credit Union Act 1997 applies, the National Asset Management Agency, The National Treasury Management Agency or a Fund investment vehicle (within the meaning of section 37 of the National Treasury Management Agency (Amendment) Act 2014) of which the Minister for Finance is the sole beneficial owner, or the State acting through the National Treasury Management Agency, or a "qualifying company" within the meaning of section 110 TCA 1997.

I undertake that, in the event that the Units cease to be assets of the *pension scheme/Company carrying on life business within the meaning of section 706 TCA 1997/investment undertaking/special investment scheme/unit notice, bring this fact to the attention of the investment undertaking accordingly. *Delete as appropriate

Additional requirements where the declaration is completed on behalf of a Charity

I also declare that at the time of making this declaration, the Units in respect of which this declaration is made are held for charitable purposes only and;

- Form part of the assets of a body of persons or trust treated by the Revenue Commissioners as a body or trust established for charitable purposes only, or
- are, according to the rules or regulations established by statute, charter, decree, deed of trust or will, held for charitable purposes only and are so treated by the Revenue Commissioners.

- I undertake that, in the event that the person referred to in paragraph (7) (d) of Schedule 2B TCA 1997 ceases to be a person referred to in Section 739D(6)(f)(i) TCA 1997, I will by written notice, bring this fact to the attention of the investment undertaking accordingly.

Additional requirements where the declaration is completed by a Qualifying Fund Manager / PRSA Administrator

I also declare that at the time this declaration is made, the Unit in respect of which this declaration is made;

- Are assets of an approved retirement fund, /an approved minimum retirement fund or the PRSA, and
- Are managed by the declarant for the individual named below who is beneficially entitled to the Units.

I undertake that, if the Units cease to be assets of the approved retirement fund, /the approved minimum retirement fund or the PRSA, including a case where the Units are transferred to another such fund, I will, by written notice, bring this fact to the attention of the investment undertaking accordingly.

Additional requirements where the declaration is completed by an Intermediary

- I/We* also declare that I am/we are* applying for units on behalf of persons who
 - To the best of my/our* knowledge and belief, have beneficial entitlement to each of the units in respect of which this declaration is made, and
 - Is a person referred to in Section 739D(6) TCA 1997.
- I/we* further declare that
 - Unless I/we* specifically notify you to the contrary at the time of application, all applications for units made by me/us* from the date of this application will be made on behalf of persons referred to in section 739D TCA 1997, and
 - I/We* will inform you in writing if I/we* become aware that any persons ceases to be a person referred to in section 739D(6) TCA 1997.

***Delete as appropriate**

Name of Applicant:

(Declarant)

Irish Tax Reference Number of Applicant ²:

Authorised Signatory³:

Title (Mr/Mrs etc):

Capacity in which declaration is made:

Date:

IMPORTANT NOTES

1. This is a form authorised by the Revenue Commissioners which may be subject to inspection. It is an offence to make a false declaration.
2. Tax reference number in relation to a person, has the meaning assigned to it by Section 885 TCA 1997 in relation to a "specified person" within the meaning of that section. In the case of a charity, quote the Charity Exemption Number (CHY) as issued by Revenue. In the case of a qualifying fund manager, quote the tax reference number of the beneficial owner of the Units.
3. In the case of (i) an exempt pension scheme, the administrator must sign the declaration (ii) a retirement annuity contract to which Section 784 or 785 applies, the person carrying on the business of granting annuities must sign the declaration (iii) a trust scheme, the trustees must sign the declaration. In the case of a charity, the declaration must be signed by the trustees or other authorised officer of a body of persons or trust established for charitable purposes only within the meaning of Sections 207 and 208 TCA 1997. In the case of a Company, the declaration must be signed by the Company secretary or other authorised officer. In the case of a unit trust it must be signed by the trustees. In any other case, it must be signed by an authorised officer of the entity concerned or a person who holds a power of attorney from the entity. If the latter, a copy of the power of attorney should be furnished in support of this declaration.

RETURN OF VALUES (INVESTMENT UNDERTAKINGS) REGULATIONS 2013

Applicable to Irish Investors Only

All investment undertakings managed by Waystone Management Company (Ireland) Limited must collect additional information in order to satisfy Return of Values (Investment Undertakings) Regulations 2013(S.I. 245 of 2013). Therefore any individual, company or any unincorporated body of persons which are an Irish resident or ordinarily Irish resident (other than an exempted Irish resident or a non-Irish resident that has provided the appropriate declarations above) must provide the following additional information and documentation:

Tax Identification (TIN) / PPS Number:

Any one of the following additional documents are required to verify the TIN or PPS Number (either a copy or the original is sufficient)

- P60
- P45
- P21 Balancing Statement
- Payslip (where employer is identified by name or tax number)
- Drug Payment Scheme Card
- European Health Insurance Card
- Tax Assessment
- Tax Return Form
- PAYE Notice of Tax Credits
- Child Benefit Award Letter /Book
- Pension Book
- Social Services Card
- Public Services Card

In addition, any printed documentation issued by the Revenue Commissioners or by the Department of Social Protection which contain your name, address and tax reference number will also be acceptable. In the case of joint account holders, the additional documentation is required for each applicant.

Your personal information will be handled by the Administrator or its duly appointed delegates as Data Processor for all investment undertakings managed by Waystone Management Company (Ireland) Limited in accordance with the Data Protection Acts 1988 to 2003. Your information provided herein will be processed for the purposes of complying with the Return of Values (Investment Undertakings) Regulations 2013 and this may include disclosure to the Irish Revenue Commissioners.

INDIVIDUAL (INCLUDING CONTROLLING PERSONS) SELF-CERTIFICATION FOR FATCA AND CRS

INSTRUCTIONS FOR COMPLETION AND DATA PROTECTION NOTICE.

We are obliged under Section 891E, Section 891F and Section 891G of the Taxes Consolidation Act 1997 (as amended) and regulations made pursuant to those sections to collect certain information about each account holder's tax arrangements. Please complete the sections below as directed and provide any additional information that is requested. Please note that by completing this form you are providing personal information which may constitute personal data within the meaning of the Data Protection Acts, 1998 and 2003 of Ireland. Please note that in certain circumstances we may be legally obliged to share this information, and other financial information with respect to an account holder's interests in the Fund, with Revenue, the Irish tax authority. They may in turn exchange this information, and other financial information with foreign tax authorities, including tax authorities outside the EU.

If you have any questions about this form or defining the account holder's tax residency status, please speak to a tax adviser or local tax authority.

For further information on FATCA or CRS please refer to the Irish Revenue or the OECD website at:

<http://www.revenue.ie/en/business/aeoi/index.html> or the following link:

<http://www.oecd.org/tax/automatic-exchange/> in the case of CRS only.

If any of the information below about the account holder's tax residence or FATCA/CRS classification changes in the future, please ensure that we are advised of these changes promptly.

Section 1, 2, 3 and 5 must be completed by all Account holders or Controlling Persons.

Section 4 should only be completed by any individual who is a Controlling Person of an entity account holder which is a Passive Non-Financial Entity, or a Controlling Person of an Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution. For further guidance see: <https://www.oecd.org/tax/automatic-exchange/common-reporting-standard/common-reporting-standard-and-related-commentaries/#d.en.345314>

SECTION 1*: ACCOUNT HOLDER/CONTROLLING PERSON IDENTIFICATION

(Mandatory fields are marked with an *)

Account Holder / Controlling Person Name*:

Investor Name*:

Current Residential Address*:

Number:

Street:

City, Town, State,
Province or County:

Postal/ZIP Code:

Country:

Mailing address (if different from above):

Number:

Street:

City, Town, State,
Province or County:

Postal/ZIP Code:

Country:

Place of Birth*:

Town or City
of Birth*:

Country of Birth*:

Date of Birth*:

SECTION 2*: FATCA DECLARATION OF U.S. CITIZENSHIP OR U.S. RESIDENCE FOR TAX PURPOSES

Please tick either (a), (b) or (c) below and complete as appropriate.

- ☐ a) I confirm that I **am a U.S. citizen and/or resident in the U.S.** for tax purposes and my U.S. federal taxpayer identifying number (U.S. TIN) is as follows:

U.S. TIN:

Or

- ☐ b) I confirm that I **am not a U.S. citizen or resident in the U.S.** for tax purposes.

SECTION 3*: CRS DECLARATION OF TAX RESIDENCY/RESIDENCIES (PLEASE CONFIRM ALL TAX RESIDENCIES)

Please indicate your country of tax residence (if residence in more than one country please detail all countries of tax residence and associated tax identification numbers ("TINs"). For further guidance please refer to the OECD CRS Information Portal:

<http://www.oecd.org/tax/automatic-exchange/>.

NOTE: Under the Irish legislation implementing the CRS, provision of a Tax ID number (TIN) is required to be provided unless:

- a) You are tax resident in a Jurisdiction that does not issue a (TIN)

Or

- b) You are tax resident in a non-reportable Jurisdiction (i.e. Ireland or the USA)

Country of Tax Residency	Tax ID Number	If TIN unavailable Select (A, B or C) and check box below
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>

If a TIN is unavailable, please provide the appropriate reason A, B or C where indicated below:

Reason A - The country/jurisdiction where the Account Holder is resident does not issue TINs or TIN equivalents to its residents

Reason B - No TIN is required. (**Note:** Only select this reason if the domestic law of the relevant country/jurisdiction does not require the collection of the TIN issued by such country/jurisdiction)

Reason C - The Account Holder is otherwise unable to obtain a TIN (please explain)

SECTION 4 – TYPE OF CONTROLLING PERSON

ONLY to be completed by an individual who is a Controlling Person of an entity investor which is a Passive Non-Financial Entity or an Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution).

For joint or multiple Controlling Persons please complete a separate Self-Certification form for each Controlling Person.

Please provide the Controlling Person’s Status by ticking the appropriate box.

	Entity Name
a. Controlling Person of a legal person – control by ownership	<input type="checkbox"/> <input type="text"/>
b. Controlling Person of a legal person – control by other means	<input type="checkbox"/> <input type="text"/>
c. Controlling Person of a legal person – senior managing official	<input type="checkbox"/> <input type="text"/>
d. Controlling Person of a trust - settlor	<input type="checkbox"/> <input type="text"/>
e. Controlling Person of a trust – trustee	<input type="checkbox"/> <input type="text"/>
f. Controlling Person of a trust – protector	<input type="checkbox"/> <input type="text"/>
g. Controlling Person of a trust – beneficiary	<input type="checkbox"/> <input type="text"/>
h. Controlling Person of a trust – other	<input type="checkbox"/> <input type="text"/>
i. Controlling Person of a legal arrangement (non-trust) – settlor-equivalent	<input type="checkbox"/> <input type="text"/>
j. Controlling Person of a legal arrangement (non-trust) – trustee-equivalent	<input type="checkbox"/> <input type="text"/>
k. Controlling Person of a legal arrangement (non-trust) – protector-equivalent	<input type="checkbox"/> <input type="text"/>
l. Controlling Person of a legal arrangement (non-trust) – beneficiary-equivalent	<input type="checkbox"/> <input type="text"/>
m. Controlling Person of a legal arrangement (non-trust) – other-equivalent	<input type="checkbox"/> <input type="text"/>

SECTION 5: DECLARATION AND UNDERTAKINGS:

I declare that the information provided in this form is, to the best of my knowledge and belief, accurate and complete.

I acknowledge and consent to the fact that the information contained in this form and information regarding the Account Holder may be reported to the tax authorities of the country in which this account(s) is/are maintained and exchanged with tax authorities of another country or countries in which the Account Holder may be tax resident where those countries (or tax authorities in those countries) have entered into Agreements to exchange financial account information.

I undertake to advise the recipient promptly and provide an updated Self-Certification form within 30 days where any change in circumstances occurs which causes any of the information contained in this form to be incorrect.

Data Protection – Customer Information Notice:

The Common Reporting Standard (CRS), formally referred to as the Standard for Automatic Exchange of Financial Account Information, is an information standard for the automatic exchange of information (AEOI), developed in the context of the Organisation for Economic Co-operation and Development (OECD).

The standard requires that Financial Institutions in participating jurisdictions gather certain information from account holders (and, in particular situations, also collect information in relation to relevant Controlling Persons of such account holders).

Under CRS account holder information (and, in particular situations, information in relation to relevant Controlling Persons of such account holders) is to be reported to the relevant tax authority where the account is held, which, if a different country to that in which the account holder resides, will be shared with the relevant tax authority of the account holder's resident country, if that is a CRS-participating jurisdiction.

Information that may be reported includes name, address, date of birth, place of birth, account balance, any payments including redemption and dividend/interest payments, Tax Residency(ies) and TIN(s).

Further information is available on the OECD website: <http://oecd.org/tax/automatic-exchange/> and on the Irish Revenue website: <http://www.revenue.ie/en/business/aeoi/>.

Authorised Signature*: _____

Print name*: _____

Date*: _____

Capacity (if Controlling Person)*: _____

TERMS OF PROPOSED INVESTMENT

I declare that:

- I am/We are making this declaration in respect of this Application Form and the Subscription Form relating to it, as well as any subsequent subscriptions that I/we may make in respect of the investment funds.
- I am/We are over 18 years of age.
- I/We, having received and considered a copy of the current Prospectus, any relevant supplements thereto and the most recent annual and/or semi-annual report of the Fund (if any), hereby confirm and declare that this application is based solely on the information contained in such documentation and is made pursuant to the terms of this Application Form. In addition, I/We confirm that I/We have received and read the information contained in this form and confirm that a copy of the Key Investor Information Document has been supplied to me/us. I/We confirm that I/We have read the Key Investor Information Document and that any future investments to any other sub-fund or share class of the umbrella fund can also be transacted based on this confirmation. I/We hereby acknowledge and agree that the updated KIID for each Share Class is available at the Investment Manager's website at www.sarasinandpartners.com and that I/we will read and review the most up-to-date version of the relevant KIID prior to making any subsequent application for Shares in the Fund. I/We request and authorize

[_____]

to act in accordance with my/our instructions. Only applies to UCITS Funds.

- I/We hereby confirm that I am/we are not a citizen or resident in the United States of America, or a partnership or corporation organised under the laws of the United States of America or any state, territory or possession thereof.
- I/We declare that I am/we are the beneficial owner of the account.
- I/We declare my/our acceptance of the ruling that the legal relationship between the investor and the Company shall be governed solely by Irish law, with the jurisdiction being in the location of the Company's registered offices or, for claims under property law, the investor's domicile as well. I/we hereby authorise Waystone Management Company (Ireland) Limited to send me details of my/our unit holdings in the investment funds in any format via my intermediary or Sarasin & Partners LLP.
- I/We agree that the issue and allotment to me/us of the Units is subject to the provisions of the Prospectus and the relevant supplements thereto, that subscription for Units will be governed and construed in accordance with Irish law and I/we confirm that by subscribing for Units, I/we are not relying on any information or representation other than such as may be contained in the Prospectus, the relevant supplements and the most recent annual or semi-annual report (if available) thereto.
- I/We agree to notify the Trustee or the Administrator immediately if I/we become aware that any of the representations are no longer accurate and complete in all respects and agree immediately to take such action as the Trustee may direct, including where appropriate, the redemption of my/our holding in its entirety. I/We agree to indemnify each of the Administrator and the Trustee and agree to keep each of them indemnified against any loss of any nature whatsoever arising to any of them as a result of any breach of any of the representations, warranties or declarations given by me/us in this Application Form.
- I/We have such knowledge and experience in business and financial matters or have obtained advice from a professional adviser such that I am/we are capable of evaluating the merits, and the risks, of an investment by me/us in the Trust.
- (In respect of joint applicants only) we direct that on the death of one of us the Units for which we hereby apply be held in the name of and to the order of the survivor or survivors of us or the executor

or administrator of the last of such survivor or survivors. In respect of sole applicants we direct that on the death of the sole holder we will always require an Irish Grant of Probate together with additional outlined documentation.

- I/We consent to personal information obtained in relation to me/us being handled by the Administrator, the Trust, the Trustee or the Investment Manager and their delegates, agents or affiliates in accordance with the Data Protection Acts 1988 to 2003 in the Republic of Ireland. Information in relation to me/us will be held, used, disclosed and processed for the purposes of (a) managing and administering my/our holdings in the Trust and any related account on an ongoing basis; (b) for any other specific purposes where I have given specific consent to do so; (c) to carry out statistical analysis and market research (d) to comply with any applicable legal or regulatory obligations including legal obligations under trust law and anti-money laundering legislation; (e) and for disclosure and transfer whether in Ireland or elsewhere (including companies situated in countries outside of the European Economic Area which may not have the same data protection laws as in Ireland) to third parties including my/our financial adviser (where appropriate), regulatory bodies, auditors, technology providers or to the Trustee and its delegates and its or their duly appointed agents and any of their respective related, associated or affiliated companies for the purposes specified above; (e) For other legitimate business interests of the Trust. I/We hereby acknowledge my/our right of access to and the right to amend and rectify my/our personal data, as provided herein. I/We understand that the Trustee is a data controller and will hold any personal information provided by me/us in confidence and in accordance with the Data Protection Act 1988 as amended by the Data Protection (Amendment) Act 2003. I/We consent to the recording of telephone calls that I/we make to and receive from the Administrator, the Trustee or the Investment Manager and their delegates or duly appointed agents and any of their respective related, associated or affiliated companies for record keeping, security and/or training purposes. I/We consent to the Trustee or the Investment Manager sending information about other investment services to me/us by letter, telephone or other reasonable means of communication. I/We understand that I/we have a right not to receive such information.
- I/We hereby authorise the Trustee and the Administrator to retain all documentation provided by me/us in relation to my/our investment in the Trust for such period of time as may be required by Irish law, but for not less than five years after the period of investment has ended.
- In addition to the Tax Information, I/We agree to promptly provide, at any times requested by the [Manager or the] Administrator, any information (or verification thereof) the [Manager or the] Administrator deems necessary for the Fund to comply with the terms of the IGA and any Irish laws, regulations or other guidance implementing the IGA, and any information required to comply with the terms of that agreement on an annual or more frequent basis. I/We agree to waive any provision of foreign law that would, absent such a waiver, prevent compliance with such requests and acknowledges that, if it fails to provide such waiver, it may be required by [the Manager] to withdraw from the Fund if necessary to comply with FATCA and the IGA.
- I/We represent and warrant the completeness and accuracy of any information (as at the date of submission) and authorise the Fund, the [Manager and the] Administrator to act upon such information in good faith, including, but not limited to, disclosing or submitting such information to the Irish tax authorities. We represent and warrant that we have any requisite consent to disclose any information to you. The Fund shall have no obligation to carry out any investigation with respect to the truth, accuracy or completeness of any information provided by us and I/We will, on demand, hold the Fund harmless from any liability resulting from the my/our failure to provide complete and accurate information.

- I/We hereby acknowledge that if I/we fail to provide the any information on a timely basis, I/We may be subject to 30% U.S. withholding tax on the investor's share of "withholdable payments" (as defined for purposes of FATCA) received by the Fund.
- I/We hereby acknowledge that if I/we fail to provide the any information and such failure results in the Fund being unable to comply with the IGA, the Fund and/or the [Manager] may exercise its right to completely redeem an applicant (at any time upon any or no notice). I/We further acknowledge and agree to indemnify the Fund and its other investors for any losses resulting from our failure to meet its obligations under this Section, including any U.S. withholding tax imposed on the Fund.
- I/We hereby acknowledge that any notice or document may be served by the Fund or Administrator on me/us in the manner specified from time to time in the Prospectus and, for the purposes of the Electronic Commerce Act 2000, if I have provided an e-mail address or fax number to the Fund or its delegate, consent to any such notice or document being sent to me/us by fax or electronically to the fax number or e-mail address previously identified to the Fund or its delegate which I/we acknowledge constitutes effective receipt by me/us of the relevant notice or document. I/we acknowledge that I/we am/are not obliged to accept electronic communication and may at any time choose to revoke my/our agreement to receive communications by fax or electronically by notifying the Fund in writing at the above address, provided that my/our agreement to receive communications by fax or electronically shall remain in full force and effect pending receipt by the Fund of written notice of such revocation.
- I/We understand that the tax disclosure set forth in the Prospectus and the relevant supplements thereto is of a general nature and may not cover the jurisdiction in which I am /we are subject to taxation and that the tax consequences of my/our purchase of Units depend on my/our individual circumstances.
- I/We acknowledge the right of the Trustee at any time to require the mandatory redemption of Units in the circumstances provided for in the Prospectus and the relevant supplements thereto.
- I/We, if not a natural person, am/are duly organised, validly existing and in good standing under the laws of the jurisdiction in which I am/we are organised and I/we have the power and authority to enter into and perform my/our obligations under this Application Form.
- I am/we are able to bear the economic risk of an investment in the Units, including, without limitation, the risk of loss of all or a part of my/our investment. I/We do not have an overall commitment to investments which are not readily marketable that is disproportionate to my/our net worth, and my/our investment in the Units will not cause such overall commitment to be excessive.
- The Administrator and the Trust are each hereby authorised and instructed to accept and execute any instructions in respect of the Units to which this application relates given by me/us in written form or by facsimile. If the instructions are given by me/us by facsimile I/we undertake to confirm them in writing immediately. I/We hereby agree to indemnify each of the Administrator and the Trust (on its own behalf and as agent of the Trust) and agree to keep each of them indemnified against any loss of any nature whatsoever arising to any of them as a result of any of them acting upon facsimile instructions. The Administrator and the Trustee may rely conclusively upon and shall incur no liability in respect of any action taken upon any notice, consent, request, instruction or other instrument believed in good faith to be genuine or to be signed by properly authorised persons.
- I/We acknowledge that due to anti-money laundering requirements operating within their respective jurisdictions the Administrator and the Trustee (as the case may be) may require further identification of the applicant(s) before the application can be processed and the Administrator and the Trustee (on its own behalf and as agent of the Trust) shall be held harmless and indemnified against any loss arising as a result of a failure to process the application if such information has been required by the parties referred to and has not been provided by me/us or has been provided in incomplete form.

- I/We request that the Units issued pursuant to this application are registered in the name(s) and address set out below.
- I/We hereby accept such lesser number of Units, if any, than may be specified above in respect of which this application may be accepted.

General authorisation:

- To comply with the Irish and UK Money Laundering Regulations I/we hereby consent to the processing of my personal data by Waystone Management Company (IE) Limited. Any information obtained from me as a result of this application will be processed by Waystone Management Company (Ireland) Limited or an affiliate. As a consequence, such data may be held outside the United Kingdom and the European Economic Area. It should be noted that in the most case this data will be held by Waystone Management Company (Ireland) Limited or its affiliate in the United Kingdom, Ireland, India, and USA and /or by its parent company Bank Sarasin's Co. Ltd, in Switzerland. I/we authorise Sarasin to enable access to the details of my/our investments in the Sarasin Funds to my/our designated financial adviser or any other Sarasin Group of Companies, through a website or by means of electronic data feeds. I/we understand that this information will only be used by my Financial Adviser in the course of providing investment advice to me/us. (Under the terms of the Data Protection Act, I understand that I have the right to obtain a copy of the information you hold about me upon payment of the appropriate fee.) I/we understand that Waystone Management Company (Ireland) Limited may need to request additional evidence of identity from me/us and I/we consent to the use of a credit agency for this purpose who will record an enquiry has been made.
- Where identification documents requested have not been provided, Waystone Management Company (IE) Limited and the Administrator will NOT be able to proceed with the purchase of units until such documents have been received.
- To comply with the European Union's Savings Tax Directive by virtue of the adoption of the terms by the Republic of Ireland, I/we understand that Waystone Management Company (Ireland) Limited are obliged to provide the Republic of Ireland Income Tax authority details of any payments of interest, or similar income.
- I/We shall provide the Fund Manager and the] Administrator with any additional information which it may reasonably request in connection with tax and or/FATCA regulations/reporting requirements or other similar requirements in order to substantiate any representations made by me/us or otherwise and I/we authorise the Fund or its agents to disclose such information relating to this application to such persons as they consider appropriate.
- In addition to any information required to the Tax Information, I/We agree to promptly provide, and periodically update, at any times requested by the Manager or the, Administrator with any information (or verification thereof) the [Manager of the] Administrator deems necessary to comply with any requirement imposed on the Fund including pursuant to tax and/or FATCA reporting requirements (including any imposed by any inter-governmental agreement or any legislation in relation to any intergovernmental agreement). This may include such information as is required to evidence the Fund's (or any account holder in the Fund's) status for such purposes, but to include (not limited to) whether the Fund is a foreign financial institution (for FATCA purposes), or whether of its account holders are US person for these purposes.
- Where I/we am/are investing as nominee, I/ we shall provide our client(s) with the KIID issued in respect of the Sub-Fund and/or Unit Class (as the case may be) and I/we shall ensure that we do so in compliance with Commission Regulation (EU) No. 583/2010, as amended from time to time or by any supplemental legislation and in compliance with the applicable legislation in any jurisdiction in carrying out this activity. I/We shall maintain records of my/our provision of the KIID to my/our clients and shall furnish such records to the Fund, or its delegates, upon request.

- I/We consent to personal information obtained in relation to me/us being handled by the Administrator, the Manager, the Trustee, or the Investment Manager and their delegates, agents or affiliates in accordance with the Data Protection Acts 1988 to 2003. Information in relation to me/us will be held, used, disclosed and processed for the purposes of (a) managing and administering my/our holdings in the relevant Trust and any related account on an ongoing basis; (b) for any other specific purposes where I have given specific consent to do so; (c) to carry out statistical analysis and market research (d) to comply with any applicable legal or regulatory obligations including legal obligations under company law and anti-money laundering legislation; (e) and for disclosure and transfer whether in Ireland or elsewhere (including companies situated in countries outside of the European Economic Area which may not have the same data protection laws as in Ireland) to third parties including my/our financial adviser (where appropriate), regulatory bodies, auditors, technology providers or to the relevant Trust and its delegates and its or their duly appointed agents and any of their respective related, associated or affiliated companies for the purposes specified above; (f) For other legitimate business interests of the relevant Trust. I/We hereby acknowledge my/our right of access to and the right to amend and rectify my/our personal data, as provided herein. I/We understand that the relevant Trust is a data controller and will hold any personal information provided by me/us in confidence and in accordance with the Data Protection Act 1988 as amended by the Data Protection (Amendment) Act 2003.
- I/We acknowledge and agree that subscription monies received in respect of a Sub-Fund in advance of Units being issued on the relevant Dealing Day will be held in the umbrella cash subscriptions and redemptions account in the name of the Sub-Fund and will be treated as an asset of the relevant Sub-Fund. I/We further acknowledge and agree that I/we will be an unsecured creditor of the relevant Sub-Fund with respect to the amount held by the Trust until Units are issued on the Dealing Day and, as such, I/we will not benefit from any appreciation in the Net Asset Value of the relevant Sub-Fund or any other Unit holder rights (including dividend entitlement) until such time as Units are issued on the relevant Dealing Day. I further acknowledge and agree that such amounts will constitute a debt due to me from the relevant Sub-Fund and will not be held on trust for me, pending the issue of Units on the relevant Dealing Day.

Data Protection Notice

This notice sets out how [the Fund] will process personal information (as a Data Controller)

Where your details are provided to the Fund as a consequence of your investment in [the Fund], then the Fund, acting as a data controller may itself (or through a third party such as Northern Trust International Fund Administration Services (Ireland) Limited (the "Administrator") acting in its capacity as the Fund's administrator) process your personal information or that of your directors, officers, employees and/or beneficial owners. When processing your personal information, there may also be times where the Administrator, or other service providers, will act as a data controller. For further information regarding these activities, please consult the data privacy notice of the Administrator (which is available at this website: <https://www.northerntrust.com/emea-privacy-notice>).

Please ensure that you provide a copy of this Data Protection Notice to any third parties whose personal data you provide to the Fund. This Data Protection Notice may be updated at any time and the Fund will notify you in writing of any changes.

Purposes of Processing and Legal basis for processing

Your personal data may be processed by the Fund or the Administrator (or any of their affiliates, agents, employees, delegates or sub-contractors) for the following purposes:

- To facilitate the opening of your account with the Fund, the management and administration of your holdings in the Fund and any related account on an on-going basis (the "Services") which are necessary for the performance of your contract with the Fund, including without limitation the processing of redemption, conversion, transfer and additional subscription requests and the payment of distributions and redemptions;

The use of your data is necessary for performance of your contract with us.

- In order to carry out anti-money laundering checks and related actions which the Fund considers appropriate to meet any legal obligations imposed on the Fund relating to, the prevention of fraud, money laundering, terrorist financing, bribery, corruption, tax evasion and to prevent the provision of financial and other services to persons who may be subject to economic or trade sanctions, on an on-going basis, in accordance with the Fund and the Administrator's anti-money laundering procedures;
- To retain AML and other records of individuals to assist with the subsequent screening of them by the Administrator including in relation to other funds or clients of the Administrator;
- To disclose information to other third parties such as service providers of the Fund, auditors, regulatory authorities and technology providers in order to comply with any legal obligation imposed on the Fund;
- To report tax related information to tax authorities in order to comply with a legal obligation;

The use of your data is necessary in order for us to comply with any legal or regulatory obligations.

- To monitor and record calls and electronic communications for (i) processing and verification of instructions, (ii) investigation and fraud prevention purposes, (iii) for crime detection, prevention, investigation and prosecution, (iv) to enforce or defend the Fund and its affiliates', itself or through third parties to whom it delegates such responsibilities or rights, (v) to pursue the Fund's legitimate interests in relation to such matters or to (vi) to resolve complaints;
- To disclose information to other third parties such as service providers of the Fund, auditors, regulatory authorities and technology providers in order to pursue the legitimate interests of the Fund;
- To enforce or defend the Fund's rights, itself or through third parties to whom it delegates such responsibilities.

The use of your data is in furtherance of our legitimate business interests.

Please note: You have the right to object to processing which is done in furtherance of our legitimate interests.

The legitimate interests referenced above include:

- Assertion of legal entitlements and defence in the event of a legal dispute;
- Prevention of criminal acts;
- Measures for business control and the further development of products;
- Risk management;

We do not consider such interests would prejudice your rights or fundamental freedoms as a data subject. For more information on our legitimate interests and the balancing exercise, please contact us using the details below.

Recipients of Data and International Transfer of Data

The Fund may disclose your personal information as follows:

- To its service providers, including the Administrator, Northern Trust Fiduciary Services (Ireland) Limited, as Depositary, their affiliates, custodians, data management and investor reporting solution providers, printers, publishers, distributors, platform providers and other third party service providers engaged by the Fund in connection with the requirements, oversight, safekeeping, distribution or operation of the Fund, in order to process the data for the above mentioned purposes; and
- To competent authorities (including tax authorities), law enforcement agencies, the Central Bank of Ireland, courts and bodies as required by law or requested or to affiliates for internal investigations and reporting.

The disclosure of personal information to the third parties set out above will, to the extent necessary to perform the Services, involve the transfer of data to the USA and other jurisdictions outside the European Economic Area. Such countries may not have the same data protection laws as your jurisdiction. The Fund has authorised the Administrator as its agent to put in place Standard Contractual Clauses in accordance with Article 46(2) of the General Data Protection Regulation (GDPR). Please contact the Administrator for copies of the Standard Contractual Clauses that have been entered into by the Fund and/or the Administrator and details of other safeguards that have been put in place.

Retention period

The Fund and the Administrator will retain your personal information for as long as required for the performance of the Services or for a longer period, depending on whether additional legal/regulatory obligations mandate that the Fund retains your personal information.

Data Subject Rights

In certain circumstances, you have the right to:

- **Request access** to your personal information (commonly known as a "data subject access request"). This enables you to receive a copy of the personal information we hold about you and to check that we are lawfully processing it;
- **Request correction** of the personal information that we hold about you. This enables you to have any incomplete or inaccurate information we hold about you corrected;
- **Request erasure** of your personal information. This enables you to ask us to delete or remove personal information where there is no good reason for us continuing to process it. You also have the right to ask us to delete or remove your personal information where you have exercised your right to object to processing (see below);
- **Object to processing** of your personal information where we are relying on a legitimate interest (or those of a third party) and there is something about your particular situation which makes you want to object to processing on this ground. You also have the right to object where we are processing your personal information for direct marketing purposes;
- **Request the restriction of processing** of your personal information. This enables you to ask us to suspend the processing of personal information about you, for example if you want us to establish its accuracy or the reason for processing it;
- **Request the transfer** of your personal information to another party.

We will respond to your request in writing, or orally if requested, as soon as practicable and in any event not more than within one month after of receipt of your request. In exceptional cases, we may extend this period by two months and we will tell you why. We may request proof of identification to verify your request. For more details in relation to your rights, including how to exercise them, please see our full privacy policy or contact us – refer to the "Contacting us" details below.

We may also seek your consent for the processing of your personal information for specific purposes. Where we do so, and where you give consent, you have the right to subsequently withdraw that consent at any time (by contacting us using the details below).

SIGNATURES

Declaration - I/We declare that the information contained in this form and the attached documentation, if any, is true and accurate to the best of my/our knowledge and belief. Further, I/we acknowledge that I/we have read and fully understand the Company's Data Protection Notice as set out above.

Signature of applicant or authorised signatory/signatories:

Capacity of authorised signatory/signatories (if applicable):

Signature of applicant or authorised signatory/signatories:

Capacity of authorised signatory/signatories (if applicable):

Date:

Corporate Entities are required to sign in accordance with their signature list (please enclose).

Consequences of not Providing Required Data

Where the Fund or the Administrator requires your personal information to comply with AML or other legal requirements, failure to provide this information means the Fund may not be able to accept you as an investor in the Fund and/or may be unable to process, or release your investment in the Funds. This may result in the Fund terminating its relationship with you. We will tell you when we ask for your information whether it is a statutory or contractual requirement to give us the information and the consequences of not providing this information.

You have the right to lodge a complaint with a supervisory authority in the EU Member State of your habitual residence or place of work or in the place of the alleged infringement if you consider that the processing of personal data relating to you carried out by the Fund or its service providers infringes the GDPR.

How to contact us

The Fund's current Administrator is Northern Trust International Fund Administration Services (Ireland) Limited.

If you have any questions about our use or the Administrator's use of your personal information, please contact us at dataprotection@sarasin.co.uk.

SECTION 3 – DUE DILIGENCE DOCUMENTATION

Due Diligence Documentary Requirements in accordance with The Criminal Justice (Money Laundering and Terrorist Financing) Act, 2010 (The 'CJA 2010') as amended and updated by The Criminal Justice Act 2013 (The 'CJA 2013').

In order to comply with Ireland's Anti-Money Laundering ("AML") legislation, Northern Trust (as Administrator) is required to conduct due diligence on all prospective investors into the Funds. The Due Diligence Documentary Requirements section provides guidance on the specific documentation and information requirements for each investor type that should be provided with this Sarasin Account Opening Form to open an account.

Note: Northern Trust (as Administrator) and Waystone Management Company (Ireland) Limited reserves the right to request further documentation and information as deemed necessary for due diligence purposes.

SECTION 3 – DUE DILIGENCE DOCUMENTATION GUIDELINES

Outlined below is guidance on the documentation which Northern Trust (as Administrator) will require when undertaking due diligence to establish the identity of an investor and verify same to the extent warranted by risk.

Examples of Photographic Identity Documentation:

- Current passport.
- Current driving licence.
- Current national identity card.
- Current identification form with the photo signed by a police officer or equivalent.
- Social welfare/revenue card or any identity document with photographic identity issued by a government department.

Examples of Proof of Address:

- Bank statements/credit card statements.
- Utility bill.
- Household/motor insurance certificate and renewal notices.
- Correspondence from local authorities.
- Correspondence from the revenue commissioners or equivalent.
- Correspondence from any government body.
- Driving licence which confirms the address provided it has not also been used to satisfy the photographic identity requirement above.
- Payslip or salary advice dated within the previous 6 months.
- Confirmation of address from a lawyer or financial institution.

Note: All documentation must reflect the current residential address and must be dated within the previous 6 months other than in the case of an official document known to be issued only or typically at fixed intervals of more than 6 months, in which case such document may be accepted during that period, to a maximum of 12 months (e.g. correspondence from local authorities).

Certification/Notarization of Documents – Required for High Risk countries:

Certified/notarized copies of personal identification documents and corporate documentation is required for certain identified investors.

Documents must be a 'certified true copy of the original'.

In terms of certifying a document as a true copy, certification means that there is an original signature on the document from a suitable person.

Suitable persons include:

- An authorised signatory of a Regulated Financial Institution or Credit Institution.
- An authorised signatory of a Financial Adviser.
- A Justice of the Peace.
- A Notary Public or Practising Solicitor.
- A Practising Chartered or Certified Public Accountant.
- Medical Professional
- A person authorised to sign on behalf of an Embassy or a Consul.
- A Police Officer.

Certification of each document should include:

- A signed statement confirming that this document is a true and accurate copy of the original document as seen by the person certifying the document.
- The name (in block capitals), occupation and contact details (address, telephone number and email address) of the person certifying the document, as a true copy of the original document.
- The date of certification of the document, as a true copy of the original document.

Note 1: Where the document, being certified as a true copy of the original document, contains a photograph, the person certifying the document as a true copy should also confirm that this is a true likeness of the person named in the document.

Note 2: The document being provided as a true and accurate copy of the original document must include a wet ink signature of the person certifying the document as a true and accurate copy of the original document (i.e. no scanned or photocopied copies of the document being certified as a true copy of the original document, can be accepted).

Note 3: For multiple page documents, the certifier should sign or initial each page of the copy and confirm on the first or last page of the document the actual number of pages in the document.

Note 4: Where available, the statement of certification as a true copy of the sighted original should be accompanied by the professional stamp or seal of the certifier.

Note 5: When certifying documents as true copies, the certifier should not be a close family member of, or have any apparent or potential conflicts of interest with, the investor.

English Translations:

Where due diligence documents are provided in a language other than English, the prospective investor should, at the same time, provide a relevant extract translated by an independent source confirming also the adequacy of the foreign language documents.

- **Beneficial Owner, in relation to the estate of a deceased person**, where the estate is in the course of administration, means the executor or administrator of the estate concerned.

Deemed High Risk Countries

Albania, Angola, Azerbaijan, Bahamas, Bangladesh, Belize, Bolivia, Cambodia, Cape Verde, Chad, Colombia, Comoros, Dominican Republic, Ecuador, El Salvador, Equatorial Guinea, Gabon, Ghana, Guatemala, Guyana, Haiti, Honduras, India, Jamaica, Jordan, Kazakhstan, Laos, Liechtenstein, Maldives, Mauritania, Mexico, Moldova, Monaco, Morocco, Nauru, Nicaragua, Nigeria, Niue, Pakistan, Palestinian Territories, Panama, Papua New Guinea, Peru, Saint Kitts and Nevis, Saudi Arabia, Senegal, Seychelles, Sierra Leone, Tajikistan, Tanzania, Trinidad and Tobago, Tunisia, Turkey, Uganda, United Arab Emirates, Uzbekistan, Vanuatu, Afghanistan, Belarus, Benin, Bosnia and Herzegovina, Burma (Myanmar), Burundi, Central African Republic, China, Congo Democratic Republic, Costa Rica, Cyprus, Egypt, Eritrea, Guinea, Guinea Bissau, Iraq, Ivory Coast, Lebanon, Liberia, Libya, Macau, Montenegro, Myanmar (Burma), Russia, Serbia, Somalia, South Sudan, Sudan, Yemen and Zimbabwe.

DUE DILIGENCE DOCUMENTATION INDIVIDUAL REQUIREMENTS

Individuals (including Joint Account Holders)

Where the applicant is an Individual please provide the following documentation:

1. Photographic identity document
2. Proof of address
3. One of the following:
 - An additional verification document such as a second photographic identity document or a second proof of address document.
 - Confirmation from a credit or financial institution confirming that the applicant is or has been a customer of that institution.

Note: In the case of joints account holders, please provide documents in respect of all holders.

4. Confirmation of the bank account from which it is proposed that subscription proceeds will be paid.

Note: Where the applicant is an Individual in one of the deemed high risk countries, outlined above, documentation provided should be certified as true copies of the original documentation.

Where the applicant is an Individual classified as a Politically Exposed Person (see the definition of a Politically Exposed Person below) please provide the following documentation:

1. Photographic identity document
2. Proof of address
3. One of the following:
 - An additional verification document such as a second photographic identity document or a second proof of address document.
 - Confirmation from a credit or financial institution confirming that the applicant is or has been a customer of that institution.

Note: In the case of joints account holders, please provide documents in respect of all holders.

4. Confirmation of the bank account from which it is proposed that subscription proceeds will be paid together with confirmation of the activity that generated the proceeds proposed to be subscribed (e.g. sale of shares, salary payments).

5. Confirmation of the activities that have generated the total net worth of the applicant

Note: Documentation provided should be certified as true copies of the original documentation.

DUE DILIGENCE DOCUMENTATION POLITICALLY EXPOSED PERSONS

Please confirm whether you are a Politically Exposed Person. If yes, please complete section on PEPs:

Yes ☐ No ☐

A Politically Exposed Person ('PEP') means an individual (or family member or close associate of such individual) who is, or has, at any time in the preceding 12 months, been entrusted with a prominent public function, including any of the following individuals:

- A Head of state, head of government, government minister or deputy or assistant government minister
- A member of parliament
- A member of the supreme court, constitutional court or other high-level judicial body whose decisions, other than in exceptional circumstances, are not subject to further appeal
- A member of a court of auditors or of the board of a central bank
- An ambassador, chargé d'affaires or high-ranking officer in the armed forces
- A member of the administrative, management or supervisory body of a state-owned enterprise

A close associate of a PEP includes any of the following persons:

- Spouse of the PEP
- A person who is considered to be the equivalent to a spouse, under the laws where the person or PEP resides
- Children of the PEP
- A spouse of the child of the PEP
- A person who is considered to be the equivalent to a spouse of a child, under the laws where the person or child resides
- Parent/parents of the PEP

APPENDIX 1 - INDIVIDUAL (CONTROLLING PERSON'S) SELF-CERTIFICATION FOR FATCA AND CRS

INSTRUCTIONS AND DEFINITIONS

Please read these instructions before completing the form.

Regulations based on the OECD Common Reporting Standard ("CRS") require us to collect and report certain information about an account holder's tax residency. If your tax residence (or the account holder, if you are completing the form on their behalf) is located in a country that is signed up to the CRS, we may be legally obliged to pass on the information in this form and other financial information with respect to your financial accounts to our local tax authority.

You can find definitions of who is classified as an account holder, and other terms, in the Appendix.

This form will remain valid unless there is a change in circumstances relating to the account holder's tax status or other mandatory fields included on this form.

This form is intended to request information only where such request is not prohibited by local law.

Please fill in this form if you are an individual account holder, sole trader or sole proprietor.

For joint or multiple account holders, use a separate form for each individual person.

Where you need to self-certify on behalf of an entity account holder, do not use this form. Instead, you will need an

"Entity tax residency self-certification." Similarly, if you are a controlling person of an entity, please fill in a **"Controlling person tax residency self-certification form"** instead of this form.

If you are a US Person under US Internal Revenue Service ("IRS") regulations, you may also need to fill in an IRS W-9 form.

If you are filling in this form on behalf of someone else.

Please tell us in what capacity you are signing in Part 3.

For example you may be the custodian or nominee of an account on behalf of the account holder, or you may be completing the form under a power of attorney.

A legal guardian should complete the form on behalf of an account holder who is a minor.

As a financial institution, we are not allowed to give tax advice.

If you have any questions about this form, these instructions, or defining your tax residency status, please speak to your tax adviser or local tax authority.

You can also find out more, including a list of jurisdictions that have signed agreements to automatically exchange information along with details about the information being requested on the OECD automatic exchange of information.

APPENDIX 2

Note: These are selected definitions provided to assist you with the completion of this form. Further details can be found within the OECD “Common Reporting Standard for Automatic Exchange of Financial Account Information”

(the “CRS”) and the associated “Commentary” to the CRS.

If you have any questions about these definitions or require further detail then please contact your tax adviser or local tax authority.

“Account Holder” The term “Account Holder” means the person listed or identified as the holder of a Financial Account. A person holding a Financial Account for the benefit of another person as an agent, a custodian, a nominee, a signatory, an investment advisor, an intermediary, or as a legal guardian, is not treated as the Account Holder. In these circumstances that other person is the Account Holder. For example in the case of a parent/child relationship where the parent is acting as a legal guardian, the child is regarded as the Account Holder. With respect to a jointly held account, each joint holder is treated as an Account Holder.

“Reportable Person” The CRS defines the Account Holder as a “Reportable Person”. A Reportable Person is further defined as an individual who is tax resident in a Reportable Jurisdiction under the laws of that jurisdiction.

“Financial Account” A Financial Account is an account maintained by a Financial Institution and includes: Depository Accounts; Custodial Accounts; Equity and debt interest in certain Investment Entities; Cash Value Insurance Contracts; and Annuity Contracts.

“Reportable Jurisdiction” A Reportable Jurisdiction is a Participating Jurisdiction with which an obligation to provide financial account information is in place.

“Participating Jurisdiction” A Participating Jurisdiction means a jurisdiction with which an intergovernmental agreement is in place pursuant to which it will provide the information required on the automatic exchange of financial account information set out in the Common Reporting Standard.

“Controlling Person” This is a natural person who exercise control over an entity. Where that entity is treated as a Passive Non-Financial Entity (“NFE”) then such persons are regarded as the Account Holder(s). This definition corresponds to the term “beneficial owner” as described in Recommendation 10 of the Financial Action Task Force Recommendations (as adopted in February 2012). If the account is maintained in relation to the Account Holder in their role as a Controlling Person then the “Controlling Person tax residency self-certification” form should be completed instead of this form.

“Entity” The term “Entity” means a legal person or a legal arrangement, such as a corporation, organisation, partnership, trust or foundation.

“TIN” (including “functional equivalent”) The term “TIN” means Taxpayer Identification Number or a functional equivalent in the absence of a TIN. A TIN is a unique combination of letters or numbers assigned by a jurisdiction to an individual or an Entity and used to identify the individual or Entity for the purposes of administering the tax laws of such jurisdiction. Some jurisdictions do not issue a TIN. However, these jurisdictions often utilise some other high integrity number with an equivalent level of identification (a “functional equivalent”). Examples of that type of number include, for individuals,

a social security/insurance number, citizen/personal identification/service code/number, and resident registration number.

“United States” means the United States of America, its territories and possessions, any state of the United States, and the District of Columbia.

“U.S. person” means:

- Any natural person resident in the United States;
- Any partnership or corporation organised or incorporated under the laws of the United States;
- Any estate of which any executor or administrator is a U.S. person;
- Any trust of which any trustee is a U.S. person;
- Any agency or branch of a non-United States entity located in the United States;
- Any non-discretionary account or similar account (other than an estate or trust) held by a dealer or other fiduciary for the benefit of a U.S. person;
- Any discretionary account or similar account (other than an estate or trust) held by a dealer or other fiduciary organised, incorporated, or (if an individual) resident in the United States; and
- Any partnership or corporation if: (A) organised or incorporated under the laws of any jurisdiction other than the United States; and (B) formed by a U.S. person principally for the purpose of investing in securities not registered under the United States Securities Act of 1933, as amended unless it is organised or incorporated, and owned, by “accredited investors” (as defined in Rule 501(a) under the United States Securities Act of 1933, as amended) who are not natural persons, estates or trusts.

Notwithstanding the foregoing paragraphs (i) through (viii):

- Any discretionary account or similar account (other than an estate or trust) held for the benefit or account of a non-U.S. person by a dealer or other professional fiduciary organised, incorporated, or (if an individual) resident in the United States shall not be deemed to be a “U.S. person”;
- Any estate of which any professional fiduciary acting as executor or administrator is a U.S. person shall not be deemed to be a “U.S. person” if: (i) an executor or administrator of the estate who is not a U.S. person has sole or shared investment discretion with respect to the assets of the estate; and (ii) the estate is governed by laws other than those of the United States;
- Any trust of which any professional fiduciary acting as trustee is a U.S. person shall not be deemed to be a “U.S. person” if a trustee who is not a U.S. person has sole or shared investment discretion with respect to the trust assets, and no beneficiary of the trust (and no settlor if the trust is revocable) is a U.S. person;
- An employee benefit plan established and administered in accordance with (i) the laws of a country other than the United States and (ii) the customary practices and documentation of such country, shall not be deemed to be a “U.S. person”; and

- Any agency or branch of a U.S. person located outside the United States shall not be deemed a “U.S. person” if: the agency or branch (i) operates for valid business reasons, (ii) is engaged in the business of insurance or banking, and (iii) is subject to substantive insurance or banking regulation, respectively, in the jurisdiction where located.

Further, none of the International Monetary Fund, the International Bank for Reconstruction and Development, the Inter-American Development Bank, the Asian Development Bank, the African Development Bank, the United Nations, or their agencies, affiliates and pension plans, or any other similar international organisation, or its agencies, affiliates and pension plans, shall be deemed to be a “U.S. person”.

“U.S. Taxpayer” includes a U.S. citizen or resident alien of the United States (as defined for U.S. federal income tax purposes); any entity treated as a partnership or corporation for U.S. tax purposes that is created or organized in, or under the laws of, the United States or any state thereof (including the District of Columbia); any other partnership that is treated as a U.S. Taxpayer under U.S. Treasury Department regulations; any estate, the income of which is subject to U.S. income taxation regardless of source; and any trust over whose administration a court within the United States has primary supervision and all substantial decisions of which are under the control of one or more U.S. fiduciaries. Persons who have lost their U.S. citizenship and who live outside the United States may nonetheless, in some circumstances, be treated as U.S. Taxpayers.

An investor may be a “U.S. Taxpayer” but not a “U.S. Person.” For example, an individual who is a U.S. citizen residing outside of the United States is not a “U.S. Person” but is a “U.S. Taxpayer.”

SECTION 4 - BANK DETAILS

Please ensure you select the correct bank account for the currency you wish to invest into. Subscription monies should not be wired until you have received confirmation that your account has been opened and are in receipt of your dealing account number.

Please note that Northern Trust's beneficiary bank is The Northern Trust International Banking Corporation, New Jersey, USA. As such all payments to Northern Trust should be processed as international payments.

SARASIN IE MULTI ASSET - DYNAMIC (GBP)

BRITISH POUND - GBP

Intermediary Bank:	Barclays Bank PLC, 1 Churchill Place, London, E14 5HP
SWIFT:	BARCGB22
Sort Code:	203253
Beneficiary Bank:	The Northern Trust International Banking Corporation, New Jersey
Account Number:	53529495
SWIFT:	CNORUS33
Beneficiary:	Sarasin IE Multi Asset - Dynamic (GBP) - 637926-20019
Reference:	

US DOLLAR - USD

Beneficiary Bank:	The Northern Trust International Banking Corporation, New Jersey
Fedwire ABA:	026001122
CHIPS ABA:	0112
SWIFT:	CNORUS33
Beneficiary:	Sarasin IE Multi Asset - Dynamic (GBP) - 148098-20010
Reference:	

EURO - EUR

Intermediary Bank:	Barclays Bank PLC, Frankfurt
Intermediary SWIFT Code:	BARCDEFF
Beneficiary Bank:	The Northern Trust International Banking Corporation, New Jersey
Beneficiary Bank Account Number:	0210472800
Beneficiary Bank SWIFT Code:	CNORUS33
Beneficiary Account Name & Number:	Sarasin IE Multi Asset - Dynamic (GBP) - 646075-20019
Reference:	

Note: An IBAN is not required for EURO payments to a US based bank

SARASIN IE MULTI ASSET - DYNAMIC (USD)

US DOLLAR - USD

Beneficiary Bank:	The Northern Trust International Banking Corporation, New Jersey
Fedwire ABA:	026001122
CHIPS ABA:	0112
SWIFT:	CNORUS33
Beneficiary:	Sarasin IE Multi Asset - Dynamic (USD) - 179747-20010
Reference:	

BRITISH POUND - GBP

Intermediary Bank:	Barclays Bank PLC, 1 Churchill Place, London, E14 5HP
SWIFT:	BARCGB22
Sort Code:	203253
Beneficiary Bank:	The Northern Trust International Banking Corporation, New Jersey
Account Number:	53529495
SWIFT:	CNORUS33
Beneficiary:	Sarasin IE Multi Asset - Dynamic (USD) - 628057-20019
Reference:	

EURO - EUR

Intermediary Bank:	Barclays Bank PLC, Frankfurt
Intermediary SWIFT Code:	BARCDEFF
Beneficiary Bank:	The Northern Trust International Banking Corporation, New Jersey
Beneficiary Bank Account Number:	0210472800
Beneficiary Bank SWIFT Code:	CNORUS33
Beneficiary Account Name & Number:	Sarasin IE Multi Asset - Dynamic (USD) - 628065-20019
Reference:	

Note: An IBAN is not required for EURO payments to a US based bank

SARASIN IE MULTI ASSET - STRATEGIC (EUR)

EURO - EUR

Intermediary Bank:	Barclays Bank PLC, Frankfurt
Intermediary SWIFT Code:	BARCDEFF
Beneficiary Bank:	The Northern Trust International Banking Corporation, New Jersey
Beneficiary Bank Account Number:	0210472800
Beneficiary Bank SWIFT Code:	CNORUS33
Beneficiary Account Name & Number:	Sarasin IE Multi Asset - Strategic (EUR) - 628123-20019
Reference:	

BRITISH POUND - GBP

Intermediary Bank:	Barclays Bank PLC, 1 Churchill Place, London, E14 5HP
SWIFT:	BARCGB22
Sort Code:	203253
Beneficiary Bank:	The Northern Trust International Banking Corporation, New Jersey
Account Number:	53529495
SWIFT:	CNORUS33
Beneficiary:	Sarasin IE Multi Asset - Strategic (EUR) - 628115-20019
Reference:	

US DOLLAR - USD

Beneficiary Bank:	The Northern Trust International Banking Corporation, New Jersey
Fedwire ABA:	026001122
CHIPS ABA:	0112
SWIFT:	CNORUS33
Beneficiary:	Sarasin IE Multi Asset - Strategic (EUR) - 179754-20010
Reference:	

Note: An IBAN is not required for EURO payments to a US based bank

SARASIN IE GLOBAL EQUITY OPPORTUNITIES (GBP)

US DOLLAR - USD

Beneficiary Bank:	The Northern Trust International Banking Corporation, New Jersey
Fedwire ABA:	026001122
CHIPS ABA:	0112
SWIFT:	CNORUS33
Beneficiary:	Sarasin IE Global Equity Opportunities (GBP) - 179721-20010
Reference:	

BRITISH POUND - GBP

Intermediary Bank:	Barclays Bank PLC, 1 Churchill Place, London, E14 5HP
SWIFT:	BARCGB22
Sort Code:	203253
Beneficiary Bank:	The Northern Trust International Banking Corporation, New Jersey
Account Number:	53529495
SWIFT:	CNORUS33
Beneficiary:	Sarasin IE Global Equity Opportunities (GBP) - 627935-20019
Reference:	

EURO - EUR

Intermediary Bank:	Barclays Bank PLC, Frankfurt
Intermediary SWIFT Code:	BARCDEFF
Beneficiary Bank:	The Northern Trust International Banking Corporation, New Jersey
Beneficiary Bank Account Number:	0210472800
Beneficiary Bank SWIFT Code:	CNORUS33
Beneficiary Account Name & Number:	Sarasin IE Global Equity Opportunities (GBP) - 627943 – 20019
Reference:	

Note: An IBAN is not required for EURO payments to a US based bank

SARASIN IE MULTI ASSET - DEFENSIVE (GBP)

BRITISH POUND - GBP

Intermediary Bank:	Barclays Bank PLC, 1 Churchill Place, London, E14 5HP
SWIFT:	BARCGB22
Sort Code:	203253
Beneficiary Bank:	The Northern Trust International Banking Corporation, New Jersey
Account Number:	53529495
SWIFT:	CNORUS33
Beneficiary:	Sarasin IE Multi Asset - Defensive (GBP) - 637413-20019
Reference:	

US DOLLAR - USD

Beneficiary Bank:	The Northern Trust International Banking Corporation, New Jersey
Fedwire ABA:	026001122
CHIPS ABA:	0112
SWIFT:	CNORUS33
Beneficiary:	Sarasin IE Multi Asset - Defensive (GBP) - 179788-20010
Reference:	

EURO - EUR

Intermediary Bank:	Barclays Bank PLC, Frankfurt
Intermediary SWIFT Code:	BARCDEFF
Beneficiary Bank:	The Northern Trust International Banking Corporation, New Jersey
Beneficiary Bank Account Number:	0210472800
Beneficiary Bank SWIFT Code:	CNORUS33
Beneficiary Account Name & Number:	Sarasin IE Multi Asset - Defensive (GBP) - 637421-20019
Reference:	

Note: An IBAN is not required for EURO payments to a US based bank

SARASIN IE MULTI ASSET TARGET RETURN

BRITISH POUND - GBP

Intermediary Bank:	Barclays Bank PLC, 1 Churchill Place, London, E14 5HP
SWIFT:	BARCGB22
Sort Code:	203253
Beneficiary Bank:	The Northern Trust International Banking Corporation, New Jersey
Account Number:	53529495
SWIFT:	CNORUS33
Beneficiary:	Sarasin IE Multi Asset Target Return - 628172-20019
Reference:	

US DOLLAR - USD

Beneficiary Bank:	The Northern Trust International Banking Corporation, New Jersey
Fedwire ABA:	026001122
CHIPS ABA:	0112
SWIFT:	CNORUS33
Beneficiary:	Sarasin IE Multi Asset Target Return - 179762-20010
Reference:	

EURO - EUR

Intermediary Bank:	Barclays Bank PLC, Frankfurt
Intermediary SWIFT Code:	BARCDEFF
Beneficiary Bank:	The Northern Trust International Banking Corporation, New Jersey
Beneficiary Bank Account Number:	0210472800
Beneficiary Bank SWIFT Code:	CNORUS33
Beneficiary Account Name & Number:	Sarasin IE Multi Asset Target Return - 637306-20019
Reference:	

Note: An IBAN is not required for EURO payments to a US based bank

SARASIN IE MULTI ASSET - STRATEGIC (USD)

US DOLLAR - USD

Beneficiary Bank:	The Northern Trust International Banking Corporation, New Jersey
Fedwire ABA:	026001122
CHIPS ABA:	0112
SWIFT:	CNORUS33
Beneficiary:	Sarasin IE Multi Asset - Strategic (USD) - 179770-20010
Reference:	

BRITISH POUND - GBP

Intermediary Bank:	Barclays Bank PLC, 1 Churchill Place, London, E14 5HP
SWIFT:	BARCGB22
Sort Code:	203253
Beneficiary Bank:	The Northern Trust International Banking Corporation, New Jersey
Account Number:	53529495
SWIFT:	CNORUS33
Beneficiary:	Sarasin IE Multi Asset - Strategic (USD) - 637355-20019
Reference:	

EURO - EUR

Intermediary Bank:	Barclays Bank PLC, Frankfurt
Intermediary SWIFT Code:	BARCDEFF
Beneficiary Bank:	The Northern Trust International Banking Corporation, New Jersey
Beneficiary Bank Account Number:	0210472800
Beneficiary Bank SWIFT Code:	CNORUS33
Beneficiary Account Name & Number:	Sarasin IE Multi Asset - Strategic (USD) - 637363-20019
Reference:	

Note: An IBAN is not required for EURO payments to a US based bank

SARASIN IE GLOBAL EQUITY OPPORTUNITIES (USD)

US DOLLAR - USD

Beneficiary Bank:	The Northern Trust International Banking Corporation, New Jersey
Fedwire ABA:	026001122
CHIPS ABA:	0112
SWIFT:	CNORUS33
Beneficiary:	Sarasin IE Global Equity Opportunities (USD) - 147504-20010
Reference:	

BRITISH POUND - GBP

Intermediary Bank:	Barclays Bank PLC, 1 Churchill Place, London, E14 5HP
SWIFT:	BARCGB22
Sort Code:	203253
Beneficiary Bank:	The Northern Trust International Banking Corporation, New Jersey
Account Number:	53529495
SWIFT:	CNORUS33
Beneficiary:	Sarasin IE Global Equity Opportunities (USD) - 646083-20019
Reference:	

EURO - EUR

Intermediary Bank:	Barclays Bank PLC, Frankfurt
Intermediary SWIFT Code:	BARCDEFF
Beneficiary Bank:	The Northern Trust International Banking Corporation, New Jersey
Beneficiary Bank Account Number:	0210472800
Beneficiary Bank SWIFT Code:	CNORUS33
Beneficiary Account Name & Number:	Sarasin IE Global Equity Opportunities (USD) - 646091-20019
Reference:	

Note: An IBAN is not required for EURO payments to a US based bank

SARASIN IE THEMATIC GLOBAL REAL ESTATE EQUITY

US DOLLAR - USD

Beneficiary Bank:	The Northern Trust International Banking Corporation, New Jersey
Fedwire ABA:	026001122
CHIPS ABA:	0112
SWIFT:	CNORUS33
Beneficiary:	Sarasin IE Thematic Global Real Estate Equity - 179713-20010
Reference:	

BRITISH POUND - GBP

Intermediary Bank:	Barclays Bank PLC, 1 Churchill Place, London, E14 5HP
SWIFT:	BARCGB22
Sort Code:	203253
Beneficiary Bank:	The Northern Trust International Banking Corporation, New Jersey
Account Number:	53529495
SWIFT:	CNORUS33
Beneficiary:	Sarasin IE Thematic Global Real Estate Equity - 627877-20019
Reference:	

EURO - EUR

Intermediary Bank:	Barclays Bank PLC, Frankfurt
Intermediary SWIFT Code:	BARCDEFF
Beneficiary Bank:	The Northern Trust International Banking Corporation, New Jersey
Beneficiary Bank Account Number:	0210472800
Beneficiary Bank SWIFT Code:	CNORUS33
Beneficiary Account Name & Number:	Sarasin IE Thematic Global Real Estate Equity - 627885-20019
Reference:	

Note: An IBAN is not required for EURO payments to a US based bank