
DUE DILIGENCE DOCUMENTATION – INDIVIDUAL REQUIREMENTS

Individuals (including Joint Account Holders)

Where the applicant is an Individual please provide the following documentation:

1. Photographic identity document.
2. Proof of address.
3. One of the following:
 - An additional verification document such as a second photographic identity document or a second proof of address document.
 - Confirmation from a credit or financial institution confirming that the applicant is or has been a customer of that institution.

Note: In the case of joints account holders, please provide documents in respect of all holders.

4. Confirmation of the bank account from which it is proposed that subscription proceeds will be paid.

Note: Where the applicant is an Individual in one of the deemed high risk countries, outlined above, documentation provided should be certified as true copies of the original documentation.

Where the applicant is an Individual classified as a Politically Exposed Person (see the definition of a Politically Exposed Person below) please provide the following documentation:

1. Photographic identity document.
2. Proof of address.
3. One of the following:
 - An additional verification document such as a second photographic identity document or a second proof of address document.
 - Confirmation from a credit or financial institution confirming that the applicant is or has been a customer of that institution.

Note: In the case of joints account holders, please provide documents in respect of all holders.

4. Confirmation of the bank account from which it is proposed that subscription proceeds will be paid together with confirmation of the activity that generated the proceeds proposed to be subscribed (e.g. sale of shares, salary payments).

5. Confirmation of the activities that have generated the total net worth of the applicant

Note: Documentation provided should be certified as true copies of the original documentation.

DUE DILIGENCE DOCUMENTATION – POLITICALLY EXPOSED PERSONS

Please confirm whether you are a Politically Exposed Person. If yes, please complete section on PEPs: Yes No

A Politically Exposed Person ('PEP') means an individual (or family member or close associate of such individual) who is, or has, at any time in the preceding 12 months, been entrusted with a prominent public function, including any of the following individuals:

- A Head of state, head of government, government minister or deputy or assistant government minister.
- A member of parliament.
- A member of the supreme court, constitutional court of other high level judicial body whose decisions, other than in exceptional circumstances, are not subject to further appeal.
- A member of a court of auditors or of the board of a central bank.
- An ambassador, chargé d'affaires or high ranking officer in the armed forces.
- A member of the administrative, management or supervisory body of a state owned enterprise.

A close associate of a PEP includes any of the following persons:

- Any individual who has joint beneficial ownership of a legal entity or legal arrangement, or any other close business relations, with the PEP.
- Any individual who has sole beneficial ownership of a legal entity or legal arrangement set up for the actual benefit of the PEP.

An immediate family member of a PEP includes any of the following persons:

- Spouse of the PEP.
 - A person who is considered to be the equivalent to a spouse, under the laws where the person or PEP resides.
 - Children of the PEP.
 - A spouse of the child of the PEP.
 - A person who is considered to be the equivalent to a spouse of a child, under the laws where the person or child resides.
 - Parent/parents of the PEP.
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Appendix 1 - Individual (Controlling Person's) Self-Certification for FATCA and CRS

Instructions and Definitions

Please read these instructions before completing the form.

Regulations based on the OECD Common Reporting Standard ("CRS") require us to collect and report certain information about an account holder's tax residency. If your tax residence (or the account holder, if you are completing the form on their behalf) is located in a country that is signed up to the CRS, we may be legally obliged to pass on the information in this form and other financial information with respect to your financial accounts to our local tax authority.

You can find definitions of who is classified as an account holder, and other terms, in the Appendix.

This form will remain valid unless there is a change in circumstances relating to the account holder's tax status or other mandatory fields included on this form.

This form is intended to request information only where such request is not prohibited by local law.

Please fill in this form if you are an individual account holder, sole trader or sole proprietor.

For joint or multiple account holders, use a separate form for each individual person.

Where you need to self-certify on behalf of an entity account holder, do not use this form. Instead, you will need an "*Entity tax residency self-certification*." Similarly, if you are a controlling person of an entity, please fill in a "*Controlling person tax residency self-certification form*" instead of this form.

If you are a US Person under US Internal Revenue Service ("IRS") regulations, you may also need to fill in an IRS W-9 form.

If you are filling in this form on behalf of someone else.

Please tell us in what capacity you are signing in Part 3.

For example you may be the custodian or nominee of an account on behalf of the account holder, or you may be completing the form under a power of attorney

A legal guardian should complete the form on behalf of an account holder who is a minor.

As a financial institution, we are not allowed to give tax advice.

If you have any questions about this form, these instructions, or defining your tax residency status, please speak to your tax adviser or local tax authority.

You can also find out more, including a list of jurisdictions that have signed agreements to automatically exchange information along with details about the information being requested on the OECD automatic exchange of information.

Appendix 2

Note: These are selected definitions provided to assist you with the completion of this form. Further details can be found within the OECD “Common Reporting Standard for Automatic Exchange of Financial Account Information” (the “CRS”) and the associated “Commentary” to the CRS.

If you have any questions about these definitions or require further detail then please contact your tax adviser or local tax authority

“Account Holder” The term “Account Holder” means the person listed or identified as the holder of a Financial Account. A person holding a Financial Account for the benefit of another person as an agent, a custodian, a nominee, a signatory, an investment advisor, an intermediary, or as a legal guardian, is not treated as the Account Holder. In these circumstances that other person is the Account Holder. For example in the case of a parent/child relationship where the parent is acting as a legal guardian, the child is regarded as the Account Holder. With respect to a jointly held account, each joint holder is treated as an Account Holder.

“Reportable Person” The CRS defines the Account Holder as a “Reportable Person”. A Reportable Person is further defined as an individual who is tax resident in a Reportable Jurisdiction under the laws of that jurisdiction.

“Financial Account” A Financial Account is an account maintained by a Financial Institution and includes: Depository Accounts; Custodial Accounts; Equity and debt interest in certain Investment Entities; Cash Value Insurance Contracts; and Annuity Contracts.

“Reportable Jurisdiction” A Reportable Jurisdiction is a Participating Jurisdiction with which an obligation to provide financial account information is in place.

“Participating Jurisdiction” A Participating Jurisdiction means a jurisdiction with which an intergovernmental agreement is in place pursuant to which it will provide the information required on the automatic exchange of financial account information set out in the Common Reporting Standard.

“Controlling Person”

This is a natural person who exercise control over an entity. Where that entity is treated as a Passive Non-Financial Entity (“NFE”) then such persons are regarded as the Account Holder(s). This definition corresponds to the term “beneficial owner” as described in Recommendation 10 of the Financial Action Task Force Recommendations (as adopted in February 2012). **If the account is maintained in relation to the Account Holder in their role as a Controlling Person then the “Controlling Person tax residency self-certification” form should be completed instead of this form.**

“Entity” The term “Entity” means a legal person or a legal arrangement, such as a corporation, organisation, partnership, trust or foundation.

“TIN” (including “functional equivalent”)

The term “TIN” means Taxpayer Identification Number or a functional equivalent in the absence of a TIN. A TIN is a unique combination of letters or numbers assigned by a jurisdiction to an individual or an Entity and used to identify the individual or Entity for the purposes of administering the tax laws of such jurisdiction.

Some jurisdictions do not issue a TIN. However, these jurisdictions often utilise some other high integrity number with an equivalent level of identification (a “functional equivalent”). Examples of that type of number include, for individuals, a social security/insurance number, citizen/personal identification/service code/number, and resident registration number.

"United States" means the United States of America, its territories and possessions, any state of the United States, and the District of Columbia.

"U.S. person" means:

Any natural person resident in the United States;

Any partnership or corporation organised or incorporated under the laws of the United States; Any estate of which any executor or administrator is a U.S. person;

Any trust of which any trustee is a U.S. person;

Any agency or branch of a non-United States entity located in the United States;

Any non-discretionary account or similar account (other than an estate or trust) held by a dealer or other fiduciary for the benefit of a U.S. person;

Any discretionary account or similar account (other than an estate or trust) held by a dealer or other fiduciary organised, incorporated, or (if an individual) resident in the United States; and

Any partnership or corporation if: (A) organised or incorporated under the laws of any jurisdiction other than the United States; and (B) formed by a U.S. person principally for the purpose of investing in securities not registered under the United States Securities Act of 1933, as amended unless it is organised or incorporated, and owned, by "accredited investors" (as defined in Rule 501(a) under the United States Securities Act of 1933, as amended) who are not natural persons, estates or trusts.

Notwithstanding the foregoing paragraphs (i) through (viii):

- any discretionary account or similar account (other than an estate or trust) held for the benefit or account of a non-U.S. person by a dealer or other professional fiduciary organised, incorporated, or (if an individual) resident in the United States shall not be deemed to be a "U.S. person";
- any estate of which any professional fiduciary acting as executor or administrator is a U.S. person shall not be deemed to be a "U.S. person" if: (i) an executor or administrator of the estate who is not a U.S. person has sole or shared investment discretion with respect to the assets of the estate; and (ii) the estate is governed by laws other than those of the United States;
- any trust of which any professional fiduciary acting as trustee is a U.S. person shall not be deemed to be a "U.S. person" if a trustee who is not a U.S. person has sole or shared investment discretion with respect to the trust assets, and no beneficiary of the trust (and no settlor if the trust is revocable) is a U.S. person;
- an employee benefit plan established and administered in accordance with (i) the laws of a country other than the United States and (ii) the customary practices and documentation of such country, shall not be deemed to be a "U.S. person"; and
- any agency or branch of a U.S. person located outside the United States shall not be deemed a "U.S. person" if: the agency or branch (i) operates for valid business reasons, (ii) is engaged in the business of insurance or banking, and (iii) is subject to substantive insurance or banking regulation, respectively, in the jurisdiction where located.

Further, none of the International Monetary Fund, the International Bank for Reconstruction and Development, the Inter-American Development Bank, the Asian Development Bank, the African Development Bank, the United Nations, or their agencies, affiliates and pension plans, or any other similar international organisation, or its agencies, affiliates and pension plans, shall be deemed to be a "U.S. person".

“U.S. Taxpayer” includes a U.S. citizen or resident alien of the United States (as defined for U.S. federal income tax purposes); any entity treated as a partnership or corporation for U.S. tax purposes that is created or organized in, or under the laws of, the United States or any state thereof (including the District of Columbia); any other partnership that is treated as a U.S. Taxpayer under U.S. Treasury Department regulations; any estate, the income of which is subject to U.S. income taxation regardless of source; and any trust over whose administration a court within the United States has primary supervision and all substantial decisions of which are under the control of one or more U.S. fiduciaries. Persons who have lost their U.S. citizenship and who live outside the United States may nonetheless, in some circumstances, be treated as U.S. Taxpayers.

An investor may be a “U.S. Taxpayer” but not a “U.S. Person.” For example, an individual who is a U.S. citizen residing outside of the United States is not a “U.S. Person” but is a “U.S. Taxpayer.”

Section 4 - Bank Details

Please ensure you select the correct bank account for the fund you wish to invest into. Subscription monies should not be wired until you have received confirmation that your account has been opened and are in receipt of your dealing account number.

Sarasin IE GlobalSar - Dynamic (GBP)

BRITISH POUND - GBP
Intermediary Bank: Barclays Bank PLC, London
SWIFT: BARCGB22
Beneficiary Bank: The Northern Trust International Banking Corporation, New Jersey
IBAN: GB64BARC20325353529495
Beneficiary: Sarasin IE GlobalSar - Dynamic (GBP) - 637926-20019

U.S DOLLAR
Beneficiary Bank: The Northern Trust International Banking Corporation, New Jersey
SWIFT: CNORUS33
Fedwire ABA: 026001122
CHIPS ABA: 0112
Beneficiary: Sarasin IE GlobalSar - Dynamic (GBP) - 148098-20010

EURO - EUR
Intermediary Bank: Barclays Bank PLC, Frankfurt
SWIFT: BARCDEFF
Beneficiary Bank: The Northern Trust International Banking Corporation, New Jersey
SWIFT: CNORUS33
Beneficiary: Sarasin IE GlobalSar - Dynamic (GBP) - 646075-20019
Note: An IBAN is not required for EURO payments to a US based bank

Sarasin IE GlobalSar - Dynamic (USD)

U.S DOLLAR
Beneficiary Bank: The Northern Trust International Banking Corporation, New Jersey
SWIFT: CNORUS33
Fedwire ABA: 026001122
CHIPS ABA: 0112
Beneficiary: Sarasin IE GlobalSar - Dynamic (USD) - 179747-20010

Sarasin IE GlobalSar - Dynamic (USD) cont.

BRITISH POUND - GBP
Intermediary Bank: Barclays Bank PLC, London
SWIFT: BARCGB22
Beneficiary Bank: The Northern Trust International Banking Corporation, New Jersey
IBAN: GB64BARC20325353529495
Beneficiary: Sarasin IE GlobalSar - Dynamic (USD) - 628057-20019

EURO - EUR
Intermediary Bank: Barclays Bank PLC, Frankfurt
SWIFT: BARCDEFF
Beneficiary Bank: The Northern Trust International Banking Corporation, New Jersey
SWIFT: CNORUS33
Beneficiary: Sarasin IE GlobalSar - Dynamic (USD) - 628065-20019
Note: An IBAN is not required for EURO payments to a US based bank

Sarasin IE GlobalSar - Strategic (EUR)

EURO - EUR
Intermediary Bank: Barclays Bank PLC, Frankfurt
SWIFT: BARCDEFF
Beneficiary Bank: The Northern Trust International Banking Corporation, New Jersey
SWIFT: CNORUS33
Beneficiary: Sarasin IE GlobalSar - Strategic (EUR) - 628123-20019
Note: An IBAN is not required for EURO payments to a US based bank

BRITISH POUND - GBP
Intermediary Bank: Barclays Bank PLC, London
SWIFT: BARCGB22
Beneficiary Bank: The Northern Trust International Banking Corporation, New Jersey
IBAN: GB64BARC20325353529495
Beneficiary: Sarasin IE GlobalSar - Strategic (EUR) - 628115-20019

U.S DOLLAR
Beneficiary Bank: The Northern Trust International Banking Corporation, New Jersey
SWIFT: CNORUS33
Fedwire ABA: 026001122
CHIPS ABA: 0112
Beneficiary: Sarasin IE GlobalSar - Strategic (EUR) - 179754-20010

Sarasin IE Global Equity Opportunities (GBP)

U.S DOLLAR

Beneficiary Bank: The Northern Trust International Banking Corporation, New Jersey

SWIFT: CNORUS33

Fedwire ABA: 026001122

CHIPS ABA: 0112

Beneficiary: Sarasin IE Global Equity Opportunities (GBP) - 179721-20010

BRITISH POUND - GBP

Intermediary Bank: Barclays Bank PLC, London

SWIFT: BARCGB22

Beneficiary Bank: The Northern Trust International Banking Corporation, New Jersey

IBAN: GB64BARC20325353529495

Beneficiary: Sarasin IE Global Equity Opportunities (GBP) - 627935-20019

EURO - EUR

Intermediary Bank: Barclays Bank PLC, Frankfurt

SWIFT: BARCDEFF

Beneficiary Bank: The Northern Trust International Banking Corporation, New Jersey

SWIFT: CNORUS33

Beneficiary: Sarasin IE Global Equity Opportunities (GBP) - 627943 – 20019

Note: An IBAN is not required for EURO payments to a US based bank

Sarasin IE GlobalSar - Income (GBP)

BRITISH POUND - GBP

Intermediary Bank: Barclays Bank PLC, London

SWIFT: BARCGB22

Beneficiary Bank: The Northern Trust International Banking Corporation, New Jersey

IBAN: GB64BARC20325353529495

Beneficiary: Sarasin IE GlobalSar - Income (GBP) - 637413-20019

U.S DOLLAR

Beneficiary Bank: The Northern Trust International Banking Corporation, New Jersey

SWIFT: CNORUS33

Fedwire ABA: 026001122

CHIPS ABA: 0112

Beneficiary: Sarasin IE GlobalSar - Income (GBP) - 179788-20010

Sarasin IE GlobalSar - Income (GBP) cont.

EURO - EUR

Intermediary Bank: Barclays Bank PLC, Frankfurt

SWIFT: BARCDEFF

Beneficiary Bank: The Northern Trust International Banking Corporation, New Jersey

SWIFT: CNORUS33

Beneficiary: Sarasin IE GlobalSar - Income (GBP) - 637421-20019

Note: An IBAN is not required for EURO payments to a US based bank

Sarasin IE Multi Asset Target Return

BRITISH POUND - GBP

Intermediary Bank: Barclays Bank PLC, London

SWIFT: BARCGB22

Beneficiary Bank: The Northern Trust International Banking Corporation, New Jersey

IBAN: GB64BARC20325353529495

Beneficiary: Sarasin IE Multi Asset Target Return - 628172-20019

U.S DOLLAR

Beneficiary Bank: The Northern Trust International Banking Corporation, New Jersey

SWIFT: CNORUS33

Fedwire ABA: 026001122

CHIPS ABA: 0112

Beneficiary: Sarasin IE Multi Asset Target Return - 179762-20010

EURO - EUR

Intermediary Bank: Barclays Bank PLC, Frankfurt

SWIFT: BARCDEFF

Beneficiary Bank: The Northern Trust International Banking Corporation, New Jersey

SWIFT: CNORUS33

Beneficiary: Sarasin IE Multi Asset Target Return - 637306-20019

Note: An IBAN is not required for EURO payments to a US based bank

Sarasin IE GlobalSar -Strategic (USD)

U.S DOLLAR

Beneficiary Bank: The Northern Trust International Banking Corporation, New Jersey

SWIFT: CNORUS33

Fedwire ABA: 026001122

CHIPS ABA: 0112

Beneficiary: Sarasin IE GlobalSar - Strategic (USD) - 179770-20010

BRITISH POUND - GBP

Intermediary Bank: Barclays Bank PLC, London

SWIFT: BARCGB22

Beneficiary Bank: The Northern Trust International Banking Corporation, New Jersey

IBAN: GB64BARC20325353529495

Beneficiary: Sarasin IE GlobalSar - Strategic (USD) - 637355-20019

EURO - EUR

Intermediary Bank: Barclays Bank PLC, Frankfurt

SWIFT: BARCDEFF

Beneficiary Bank: The Northern Trust International Banking Corporation, New Jersey

SWIFT: CNORUS33

Beneficiary: Sarasin IE GlobalSar - Strategic (USD) - 637363-20019

Note: An IBAN is not required for EURO payments to a US based bank

Sarasin IE Global Equity Opportunities (USD)

U.S DOLLAR

Beneficiary Bank: The Northern Trust International Banking Corporation, New Jersey

SWIFT: CNORUS33

Fedwire ABA: 026001122

CHIPS ABA: 0112

Beneficiary: Sarasin IE Global Equity Opportunities (USD) - 147504-20010

BRITISH POUND - GBP

Intermediary Bank: Barclays Bank PLC, London

SWIFT: BARCGB22

Beneficiary Bank: The Northern Trust International Banking Corporation, New Jersey

IBAN: GB64BARC20325353529495

Beneficiary: Sarasin IE Global Equity Opportunities (USD) - 646083-20019

Sarasin IE Global Equity Opportunities (USD) cont.

EURO - EUR
Intermediary Bank: Barclays Bank PLC, London
SWIFT: BARCGB22
Beneficiary Bank: The Northern Trust International Banking Corporation, New Jersey
SWIFT: CNORUS33
Beneficiary: Sarasin IE Global Equity Opportunities (USD) - 646091-20019
Note: An IBAN is not required for EURO payments to a US based bank

Sarasin IE Sustainable Global Real Estate Equity

U.S DOLLAR
Beneficiary Bank: The Northern Trust International Banking Corporation, New Jersey
SWIFT: CNORUS33
Fedwire ABA: 026001122
CHIPS ABA: 0112
Beneficiary: Sarasin IE Global Real Estate Equity - 179713-20010

BRITISH POUND - GBP
Intermediary Bank: Barclays Bank PLC, London
SWIFT: BARCGB22
Beneficiary Bank: The Northern Trust International Banking Corporation, New Jersey
IBAN: GB64BARC20325353529495
Beneficiary: Sarasin IE Global Real Estate Equity - 627877-20019

EURO - EUR
Intermediary Bank: Barclays Bank PLC, London
SWIFT: BARCGB22
Beneficiary Bank: The Northern Trust International Banking Corporation, New Jersey
SWIFT: CNORUS33
Beneficiary: Sarasin IE Global Real Estate Equity - 627885-20019
Note: An IBAN is not required for EURO payments to a US based bank

