SARASIN & PARTNERS

This document is intended for professional investors only. Not for onward distribution.

# Sarasin US client Model Portfolio Service (US MPS)

## Target market information for advisers 2026

**For what type of investor is this service suitable?**
Financial Conduct Authority (FCA)-authorised financial advisers may target retail clients and professional clients with this service.

The direct contractual relationship is between Sarasin Asset Management and the FCA-authorised financial adviser, who contracts on an 'Agent as Client' basis.

The service invests in assets that are suitable for retail clients. It does not invest in assets of a complex nature which are more tailored to professional clients and eligible counterparties.

**Vulnerable clients**
When appropriate, the Financial Adviser or Platform, as distributors of the MPS, should ensure that clients are given the necessary support to help them reach their financial goals and clearly understand the main features and potential risks involved.

In cases where a client is displaying characteristics of vulnerability, the Financial Adviser or Platform should evaluate whether the service is suitable for meeting the client's specific needs.

**What is the target market for this service?**
Clients investing in this service:

— Must be willing to accept some degree of investment risk;

— Have the capacity to absorb loss; and

— Have a relationship with an FCA-authorised financial adviser who is responsible for assessing suitability and can assist the client with understanding the investment proposal and the risks attached to this service

Three different portfolios are available (each offfered with USD or GBP base currency), varying in their investment objectives and risk profiles.

The service is only available via platforms.

**Negative target markets**
If there is no FCA-authorised financial adviser attached to the client, the service would not be fit for the client target market, as there is no ability to assess suitability.

The service is not suitable for, and must not be distributed or made available to, US citizens who are resident in the United States.

The Sarasin US client Model Portfolio Service is not suitable for clients who:

— Seek full capital protection;

— Have no ability to bear loss;

— Require a fully guaranteed income or a fully predictable return profile; or

— Require Capital Gains Tax managed outside of ISA/pension

**Knowledge and/or experience**

| | |
|---|---|
| **Basic investor** | Basic knowledge of relevant financial products.<br>A basic investor can make an informed investment decision based on the regulated and authorised offering documentation or with the help of basic information provided by point of sale.<br>No financial industry experience (i.e. suited to a first time investor). |
| **Informed investor** | Average knowledge of relevant financial products. An informed investor can make an informed investment decision based on the regulated and authorised offering documentation, together with knowledge and understanding of the specific factors/risks highlighted within them only.<br>Some financial industry experience. |
| **Advanced investor** | Good knowledge of relevant financial products and transactions.<br>Financial industry experience or accompanied by professional investment advice or included in a discretionary portfolio service. |

## How does Sarasin US client Model Portfolio Service meet your clients' objectives and needs?

| | | Suitable for | Minimum Time horizon |
|---|---|---|---|
| **Sarasin Balanced Model**<br>Medium risk balanced |  Designed for investors seeking a balance of capital protection and participation in equity market growth. | Basic, Informed, Advanced investors. | **3-5+** yrs |
| **Sarasin Growth Model**<br>Medium-high risk balanced |  Designed for investors with a strong focus on participation in equity market growth alongside an element of capital protection. | Basic, Informed, Advanced investors. | **5-7** yrs |
| **Sarasin Equity Model**<br>High risk |  Designed for investors with a focus on capturing returns available from global equity markets. | Basic, Informed, Advanced investors. | **5-7+** yrs |

## Distribution strategy

| | | |
|---|---|---|
| **Execution only** | No | Negative target market – service should not be sold on an execution-only basis. |
| **Non-advised service** | No | Negative target market – service should not be sold on a non-advised basis. |
| **Advised service** | Yes | |
| **Portfolio management** | Yes | |

## Important information

**This document is only intended for professional investors. It is not suitable for retail investors and is not for onward distribution.**

This document has been issued by Sarasin Asset Management Limited, which is a wholly owned subsidiary of Sarasin & Partners LLP, 50 George Street, London, W1U 7DY. Registered in England and Wales, No. 01497670. Authorised and regulated by the UK Financial Conduct Authority ("FCA") (FRN: 163584). Registered as an Investment Adviser with the US Securities and Exchange Commission ("SEC") under the Investment Advisers Act of 1940, as amended (CRD No. 115788/SEC No. 801-62077). Website: www.sarasinassetmanagement.com. Tel: +44 (0)20 7038 7000. Telephone calls may be recorded or monitored in accordance with applicable laws.

The information in this document has not been approved or verified by the SEC or by any state securities authority. Registration with the SEC does not imply a certain level of skill or training.

In rendering investment advisory services, Sarasin Asset Management Limited may use the resources of its affiliate, Sarasin & Partners LLP, an SEC Exempt Reporting Adviser. Sarasin & Partners LLP is a London-based specialist investment manager and is authorised and regulated by the FCA (FRN: 475111).

Sarasin Asset Management Limited has entered into a Memorandum of Understanding ("MOU") with Sarasin & Partners LLP to provide advisory resources to clients of Sarasin Asset Management Limited. To the extent that Sarasin & Partners LLP provides advisory services in relation to any US clients of Sarasin Asset Management Limited pursuant to the MOU, Sarasin & Partners LLP will be subject to the supervision of Sarasin Asset Management Limited. Sarasin & Partners LLP and any of its respective employees who provide services to clients of Sarasin Asset Management Limited are considered under the MOU to be "associated persons" as defined in the Investment Advisers Act of 1940. Sarasin & Partners LLP manages mutual funds in which Sarasin Asset Management Limited may invest its clients' assets as appropriate. To the extent that Sarasin Asset Management Limited is able to exercise proxy voting on behalf of its clients, Sarasin Asset Management Limited follows the policy set by Sarasin & Partners LLP. Proxy voting is an operational process dependent upon support from Sarasin Asset Management Limited's clients' custodians, some of which do not support proxy voting in all or certain markets.

This document has been prepared for marketing and informational purposes only. It is not a solicitation, or an offer to buy or sell any security. The information on which the material is based has been obtained in good faith, from sources that we believe to be reliable, but we have not independently verified such information and we make no representation or warranty, express or implied, as to its accuracy. All expressions of opinion are subject to change without notice. This document should not be relied on for accounting, legal or tax advice, or investment recommendations. Reliance should not be placed on the views and information in this material when taking individual investment and/or strategic decisions.

Neither Sarasin & Partners LLP, Sarasin Asset Management Limited nor any other member of the J. Safra Sarasin Holding Ltd group accepts any liability or responsibility whatsoever for any consequential loss of any kind arising out of the use of this document or any part of its contents. The use of this document should not be regarded as a substitute for the exercise by the recipient of their own judgement.

Where the data in this document comes partially from third-party sources the accuracy, completeness or correctness of the information contained in this publication is not guaranteed, and third-party data is provided without any warranties of any kind. Sarasin Asset Management Limited shall have no liability in connection with third-party data.

© 2026 Sarasin Asset Management Limited. All rights reserved. This document is subject to copyright and can only be reproduced or distributed with permission from Sarasin Asset Management Limited. Any unauthorised use is strictly prohibited.

**Sarasin Asset Management**
50 George Street
London W1U 7DY

020 7038 7000
sarasinandpartners.com